

Basic Mechanisms of Action in Immuno-Oncology

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Disclosure

[illegible]

Immune System Function and Immune Response

Identify and destroy foreign or abnormal cells in the body

Innate Immunity

- Nonspecific
- First line of defense
- WBCs (natural killer cells, neutrophils)
- Activation of adaptive immunity

Adaptive Immunity

- Specific target recognition
- Slower to develop
- Antibody or cell mediated
- Memory
 - Faster, stronger subsequent responses

Immuno-Therapy: Does it Work in Cancer?

Paul Ehrlich's Immunosurveillance Concept

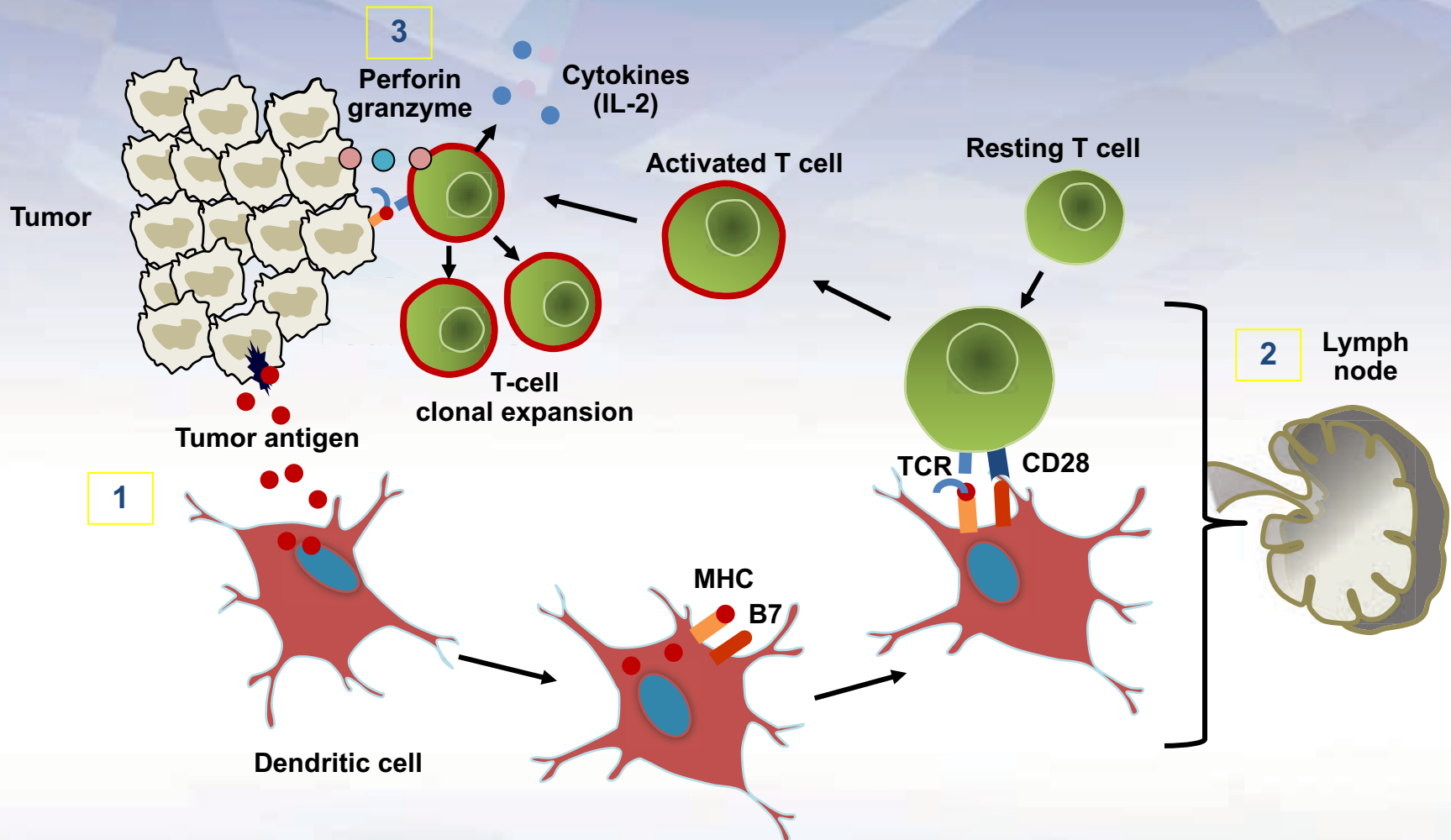
100+ years of progress

- Magic Bullet (Paul Ehrlich)
- Immunosurveillance (P Ehrlich 1909)

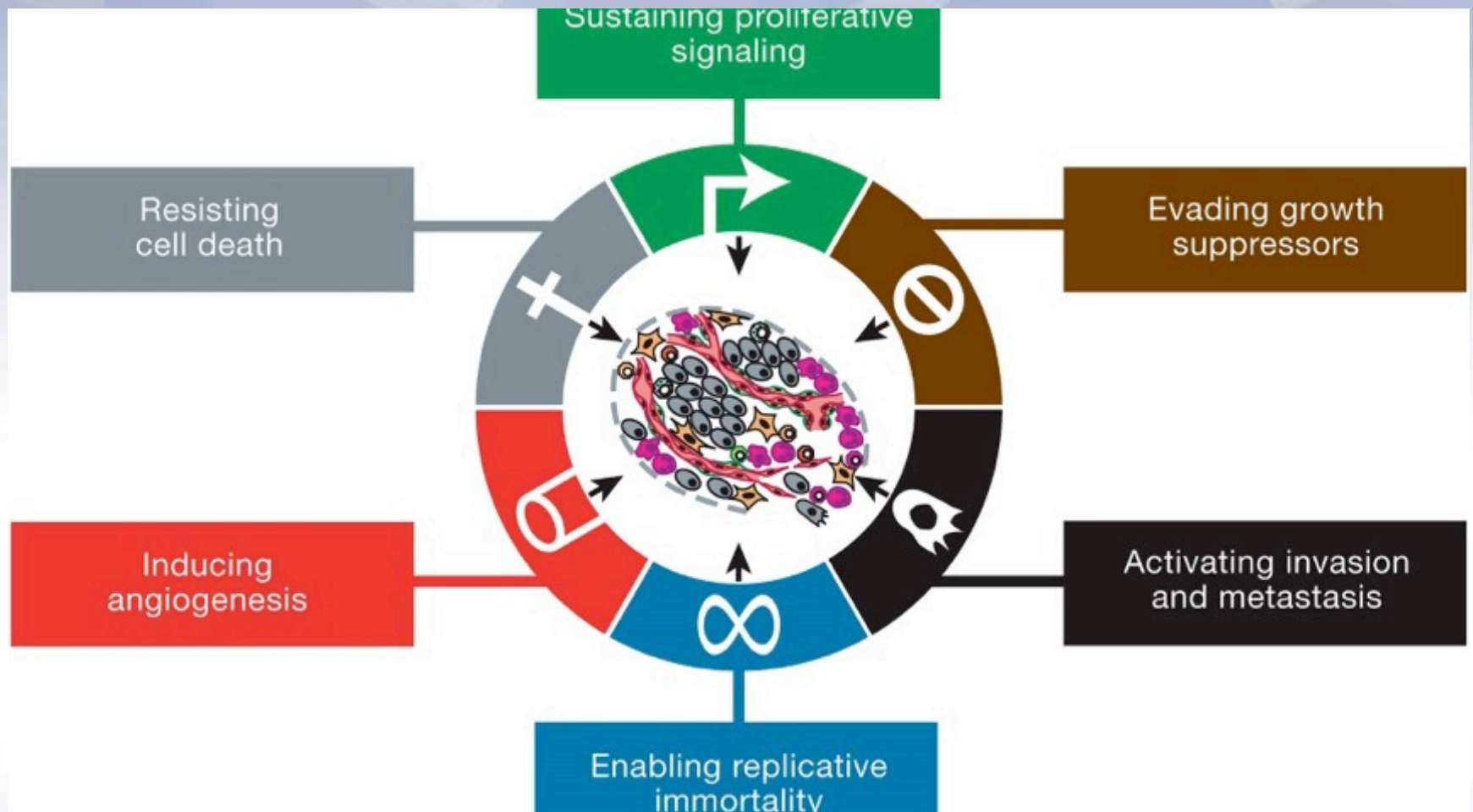
Paul Ehrlich



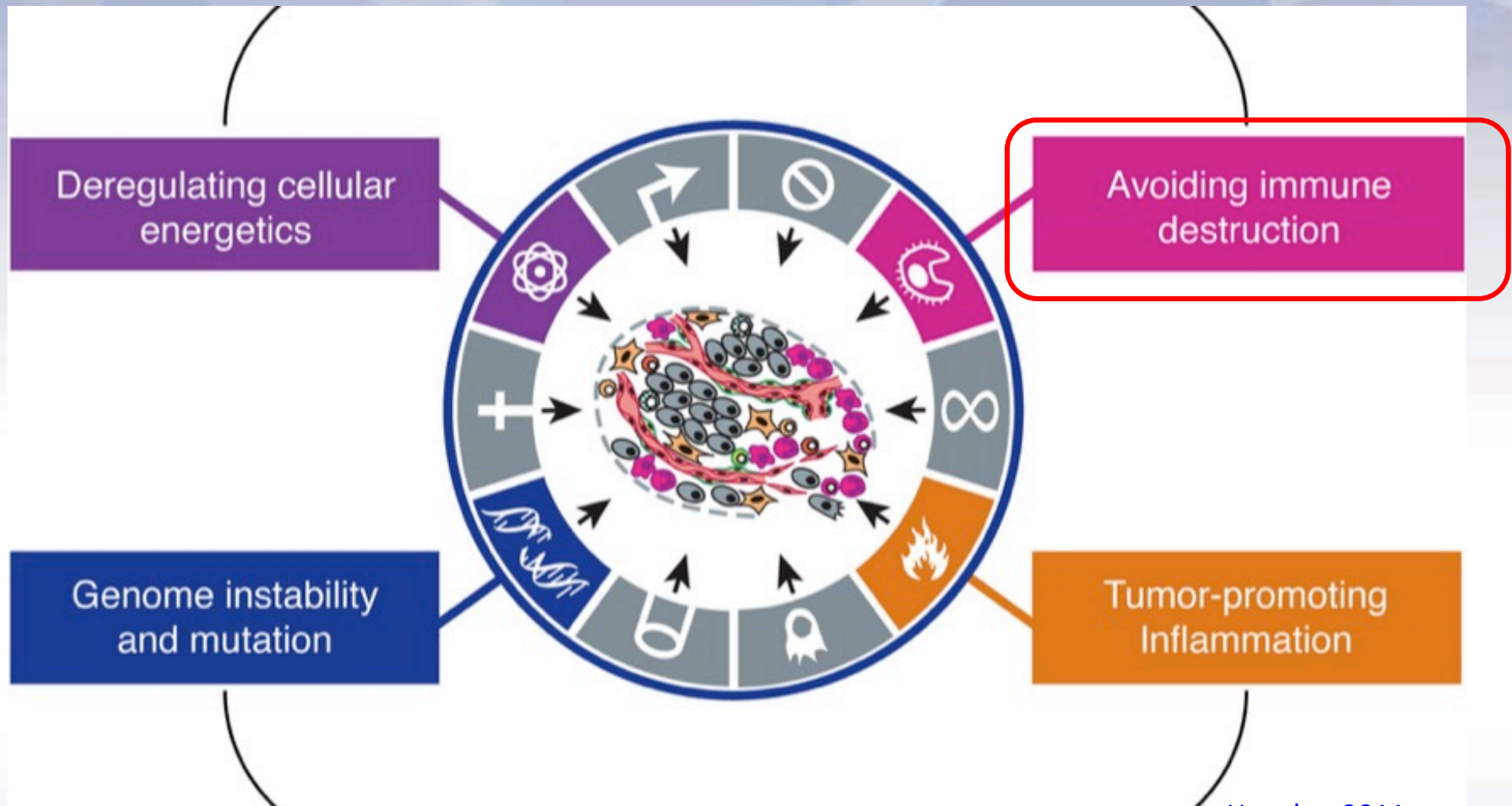
Cell-Mediated Tumor Immunology: Overview



Hallmarks of cancer

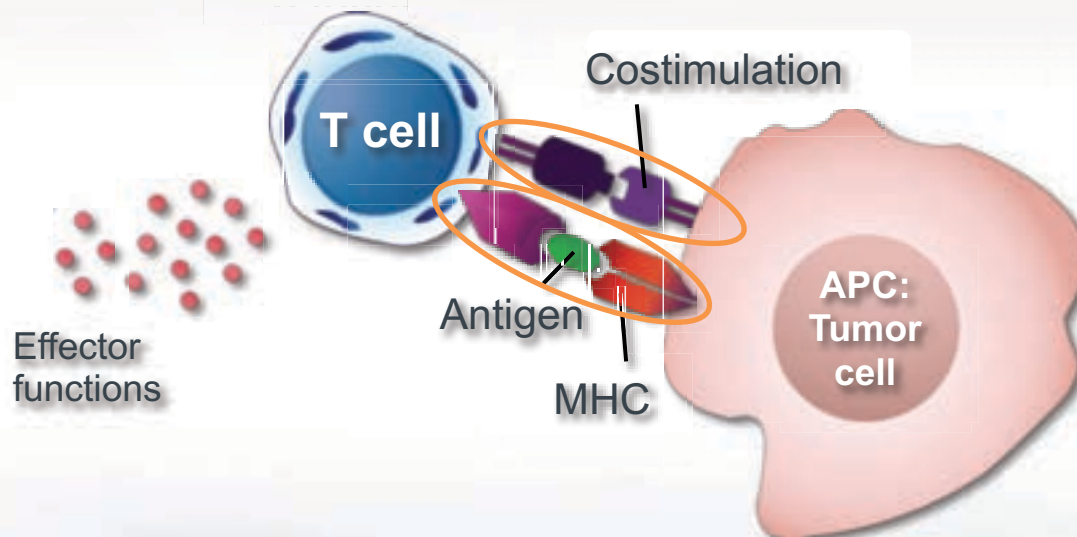


Emerging hallmarks

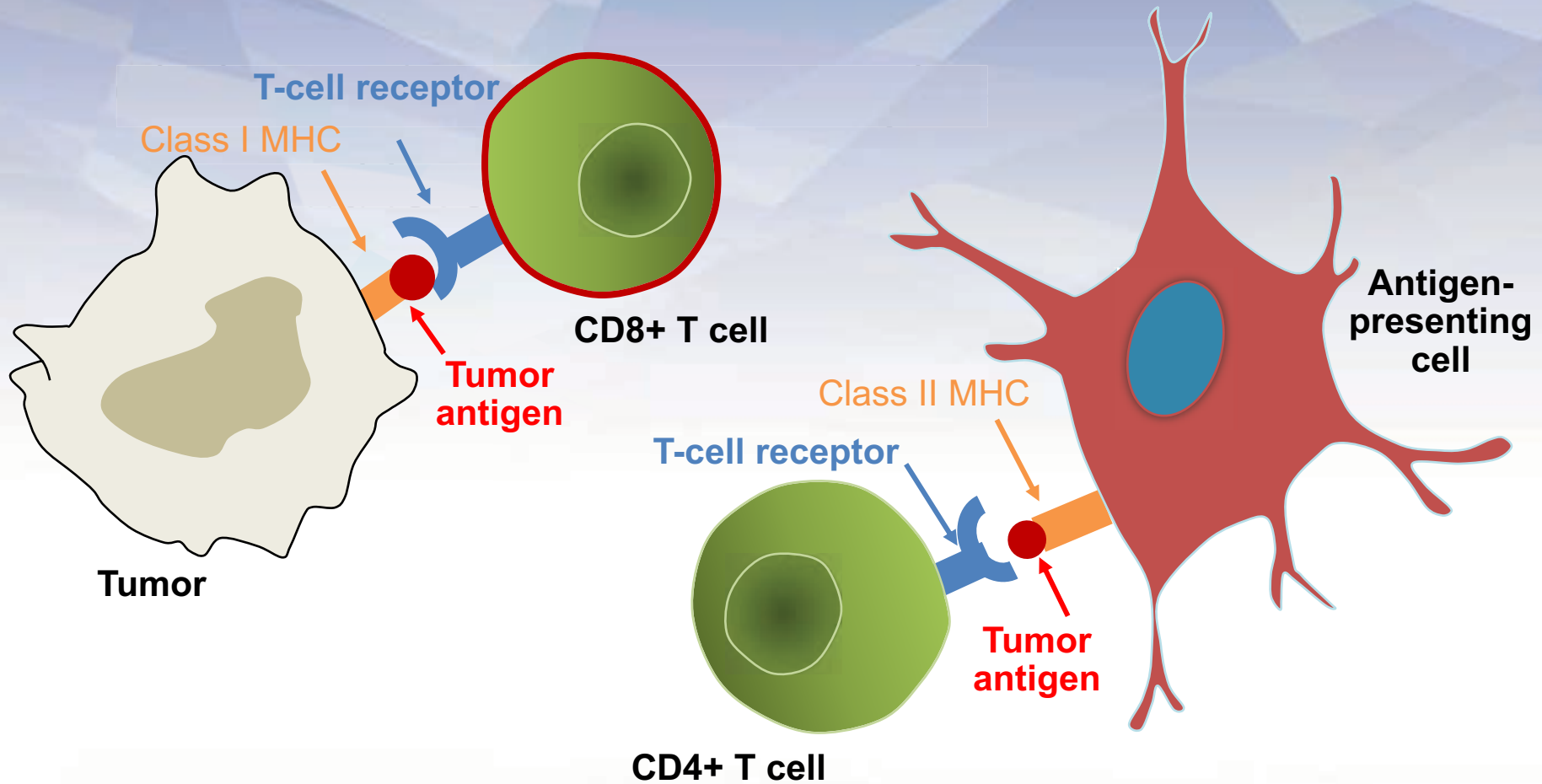


Proper T-Cell Activation Requires 2 Signals¹

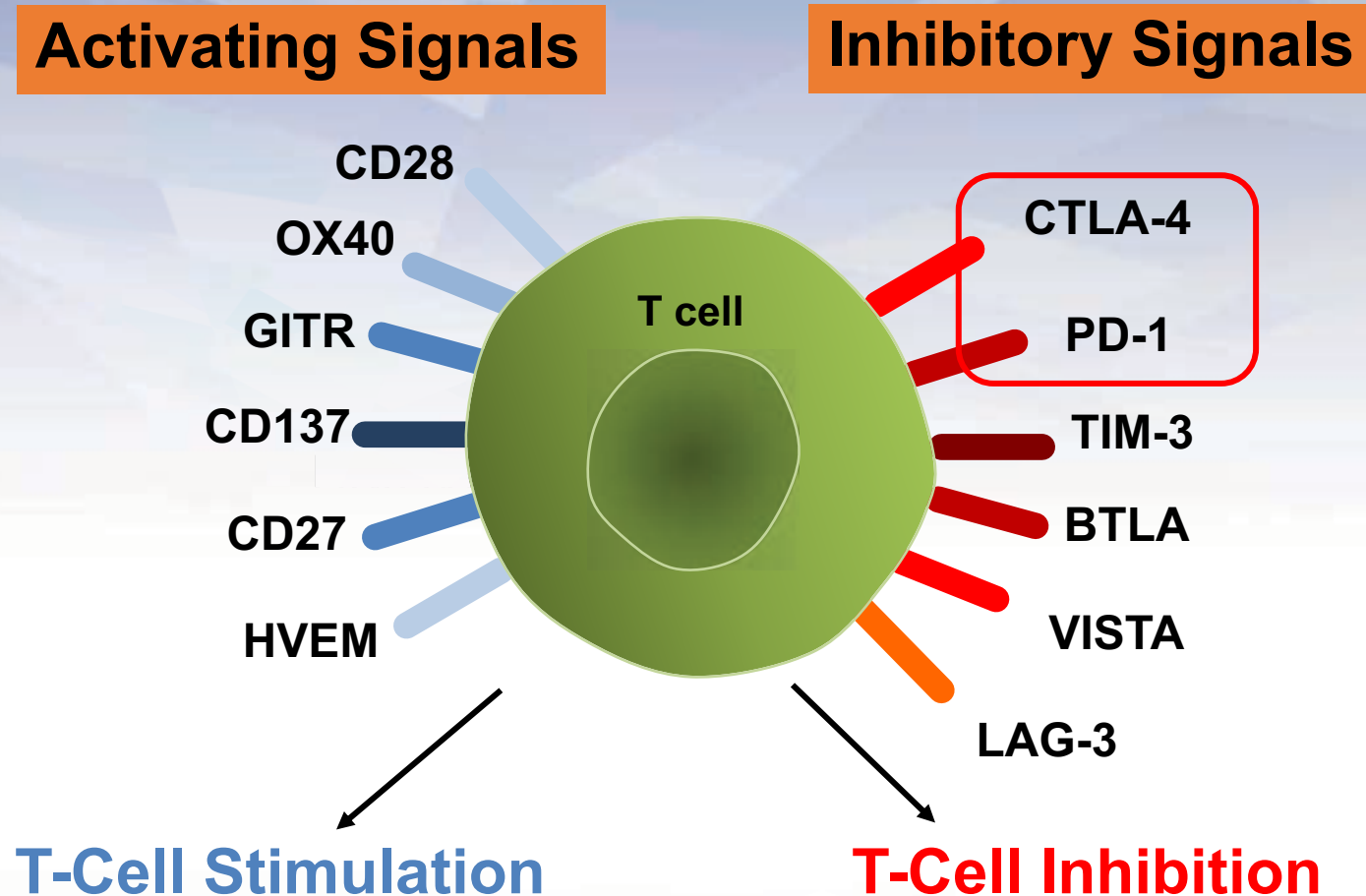
- To be properly activated, a T cell MUST receive 2 signals¹
 - Binding of an MHC-antigen complex to TCR
 - Binding of a second costimulatory signal
- This initiates intracellular signaling that activates the T cell, which can then kill infected or cancer cells or help support other immune functions^{1,2}



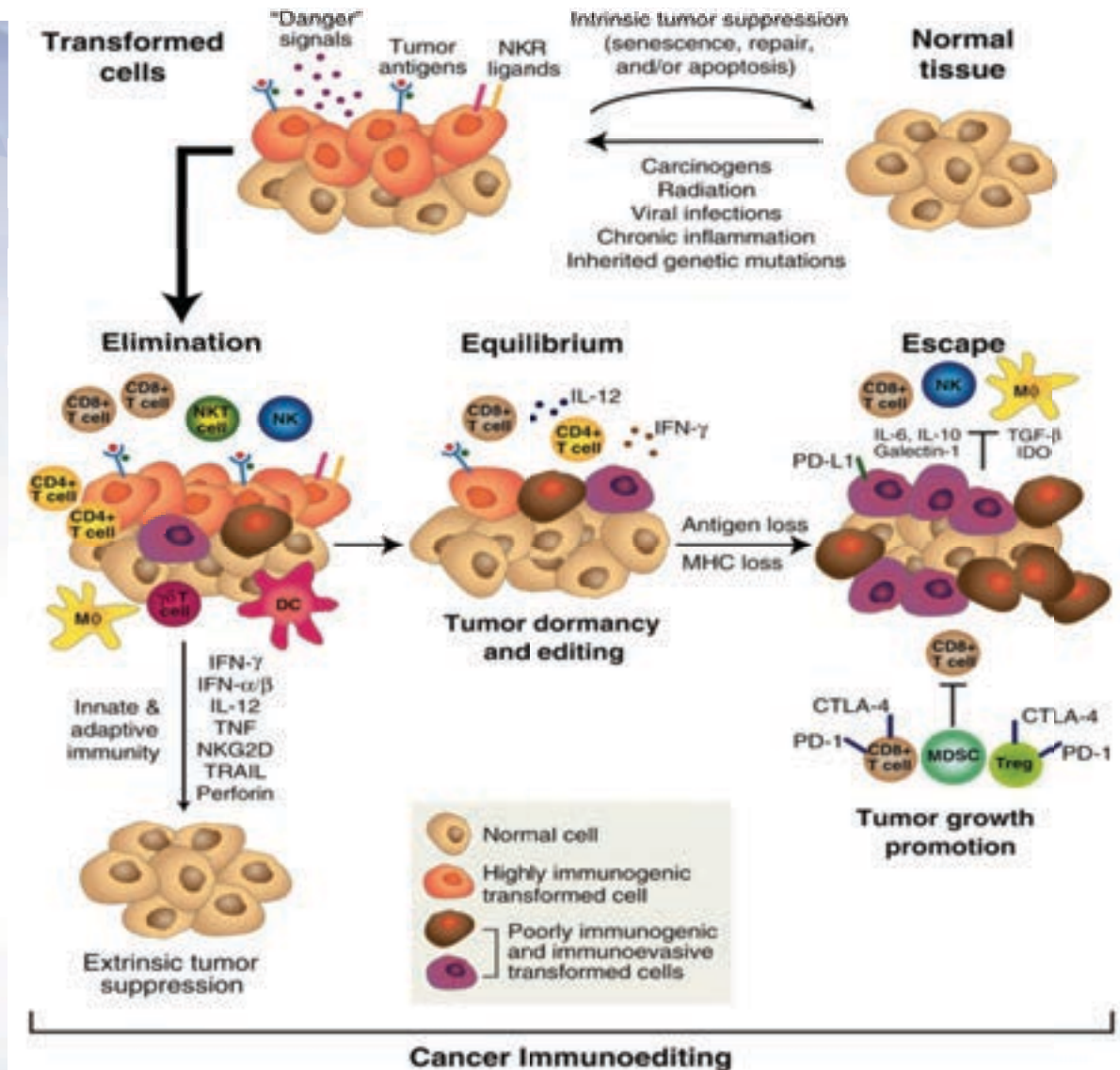
T-Cell Response: First Signal



T-Cell Response: Second Signal to Accelerate or Brake

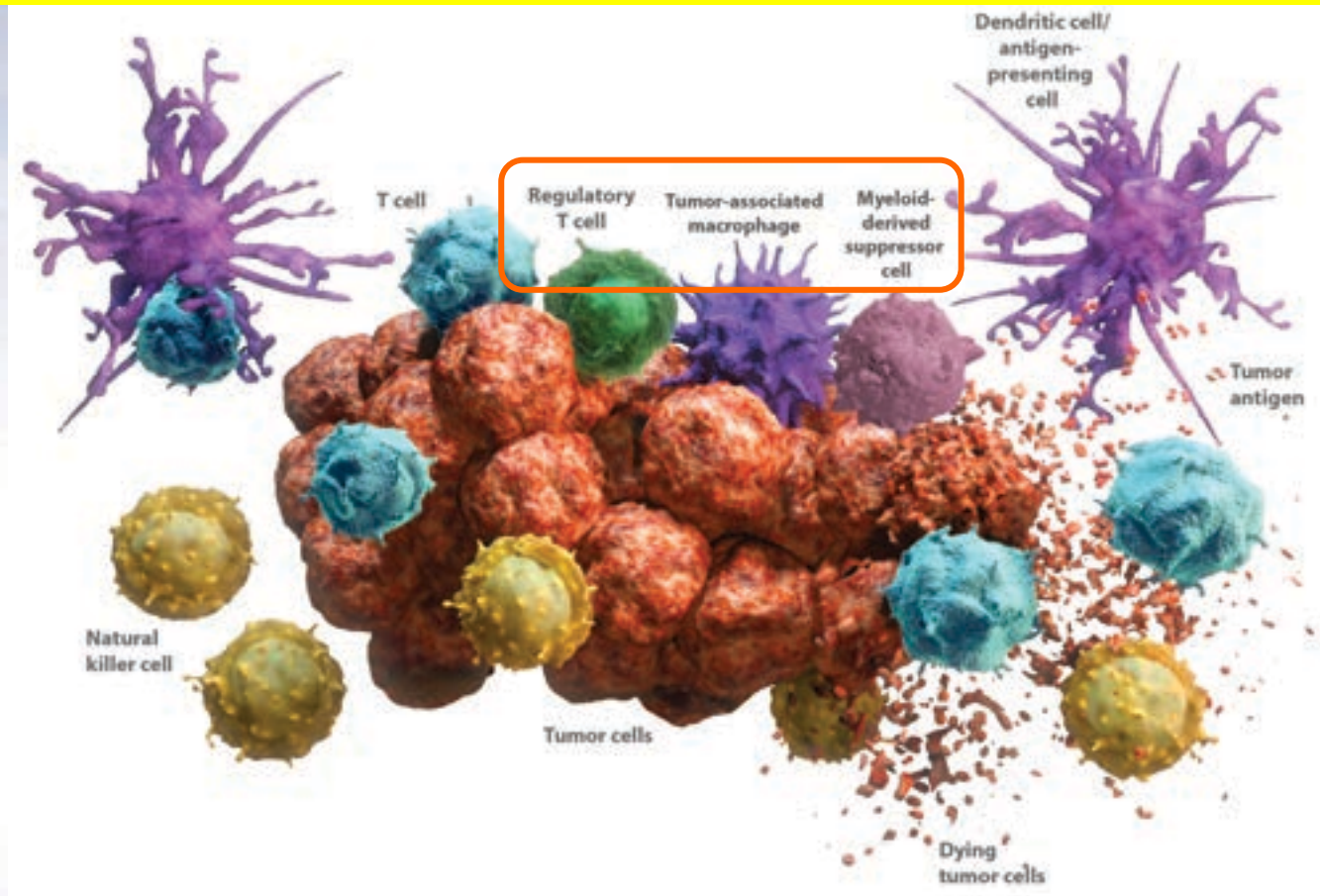


Cancer Immunoediting



Tumor microenvironment

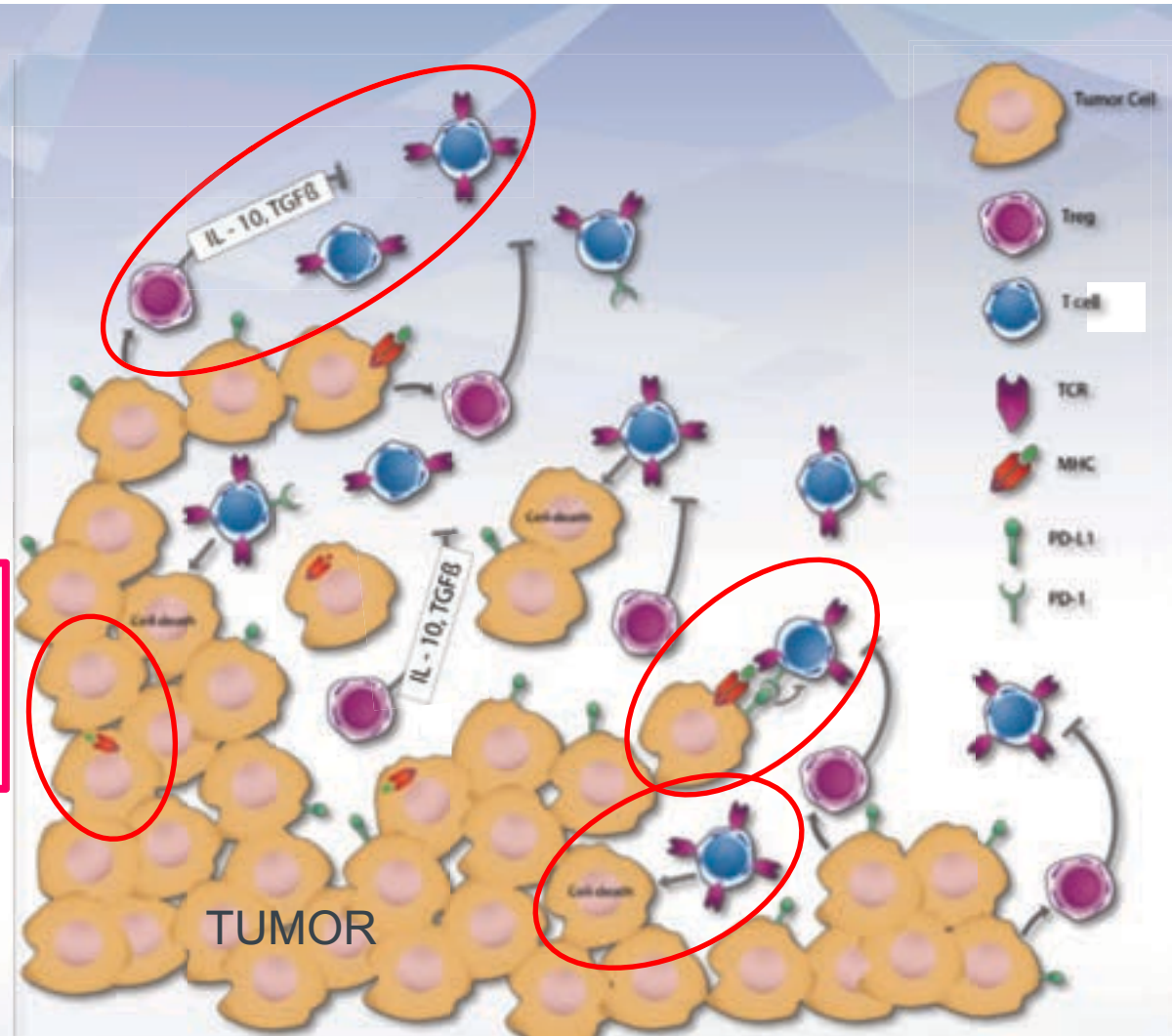
The immune system is capable of recognizing and eliminating tumor cells in the tumor microenvironment. Innate and adaptive immunity act as a complementary network of self-defense against foreign threats.



Tumors can use various mechanisms to escape detection and enable growth.

Tumors Evade Immune Detection and Destruction¹

- The immune response to tumor cells can be evaded by a number of mechanisms:
 - Reduced antigen presentation¹
 - Resistance to T-cell-mediated killing¹
 - T-cell inhibition and anergy (eg, by upregulation of coinhibitory molecules, including PD-L1)²
 - Treg-mediated immunosuppression¹

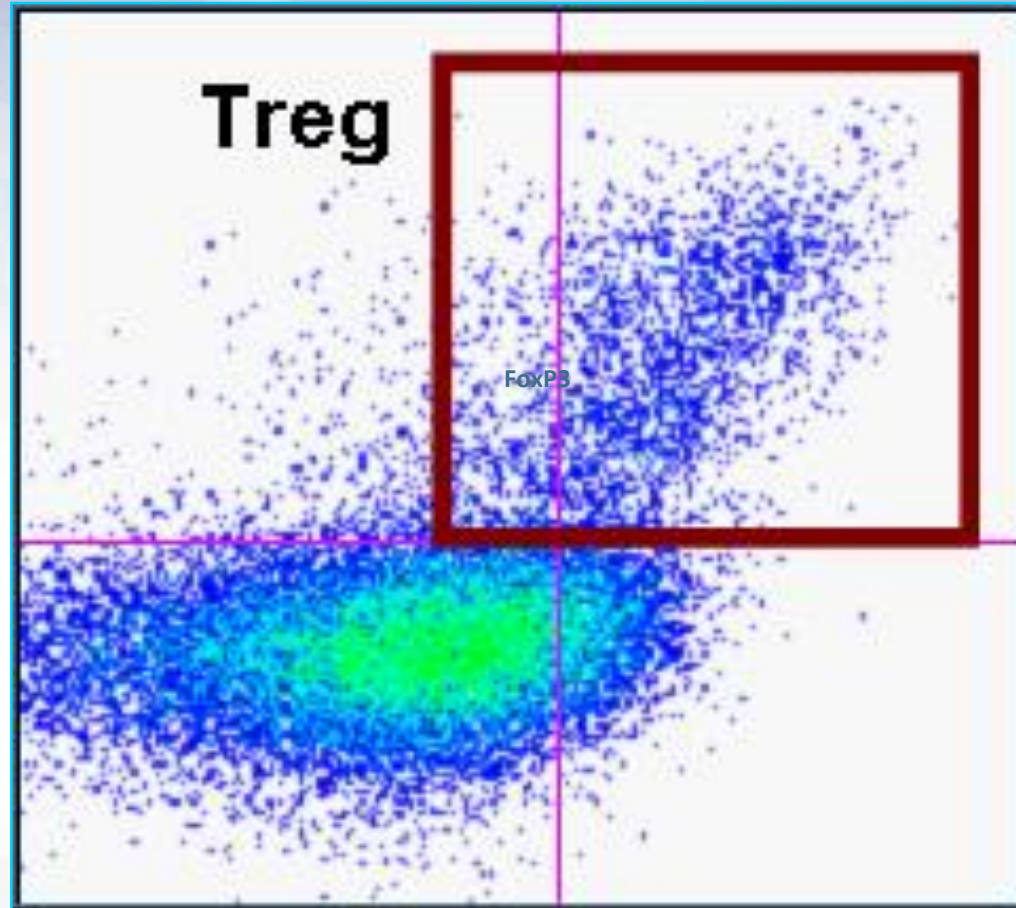


Regulatory T cells



Regulatory T cells

FoxP3



Flow cytometry plot gated on human
CD4 T cells



Regulatory T cells

- T-regs cells which have a role in regulating or suppressing other cells in the immune system
- T-regs control the immune response to self and foreign antigens and help prevent autoimmune disease.
- T-regs produced by a normal thymus are termed 'natural'
- T-regs formed by differentiation of naïve T cells outside the thymus, i.e. the periphery, or in cell culture are called 'adaptive'

Regulatory T cells

- Natural T-reg are characterised as expressing both the CD4 T cell co-receptor and CD25, which is a component of the IL-2 receptor
- Tregs are thus CD4+ CD25+
- Expression of the nuclear transcription factor Forkhead box P3 (FoxP3) is the defining property which determines natural T-reg development and function

Regulatory T cells

- FoxP3 is crucial for maintaining suppression of the immune system
- Naturally occurring mutations in the *FOXP3* gene can result in self-reactive lymphocytes that cause a rare but severe disease **IPEX**
(Immune Dysregulation, **P**olyendocrinopathy, Enteropathy, **X**-Linked) in humans

MDSC

Myeloid Derived Suppressor Cell

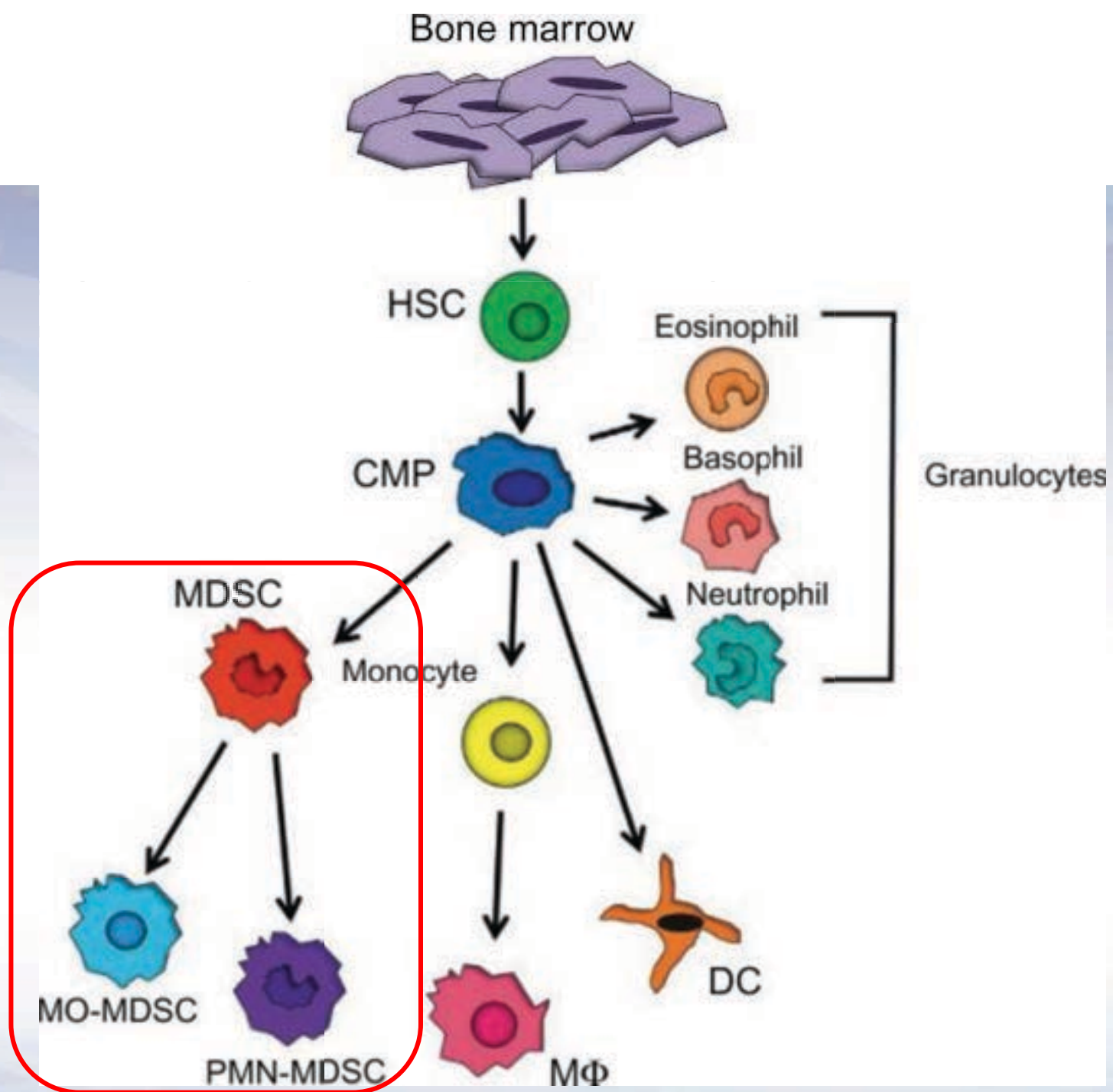


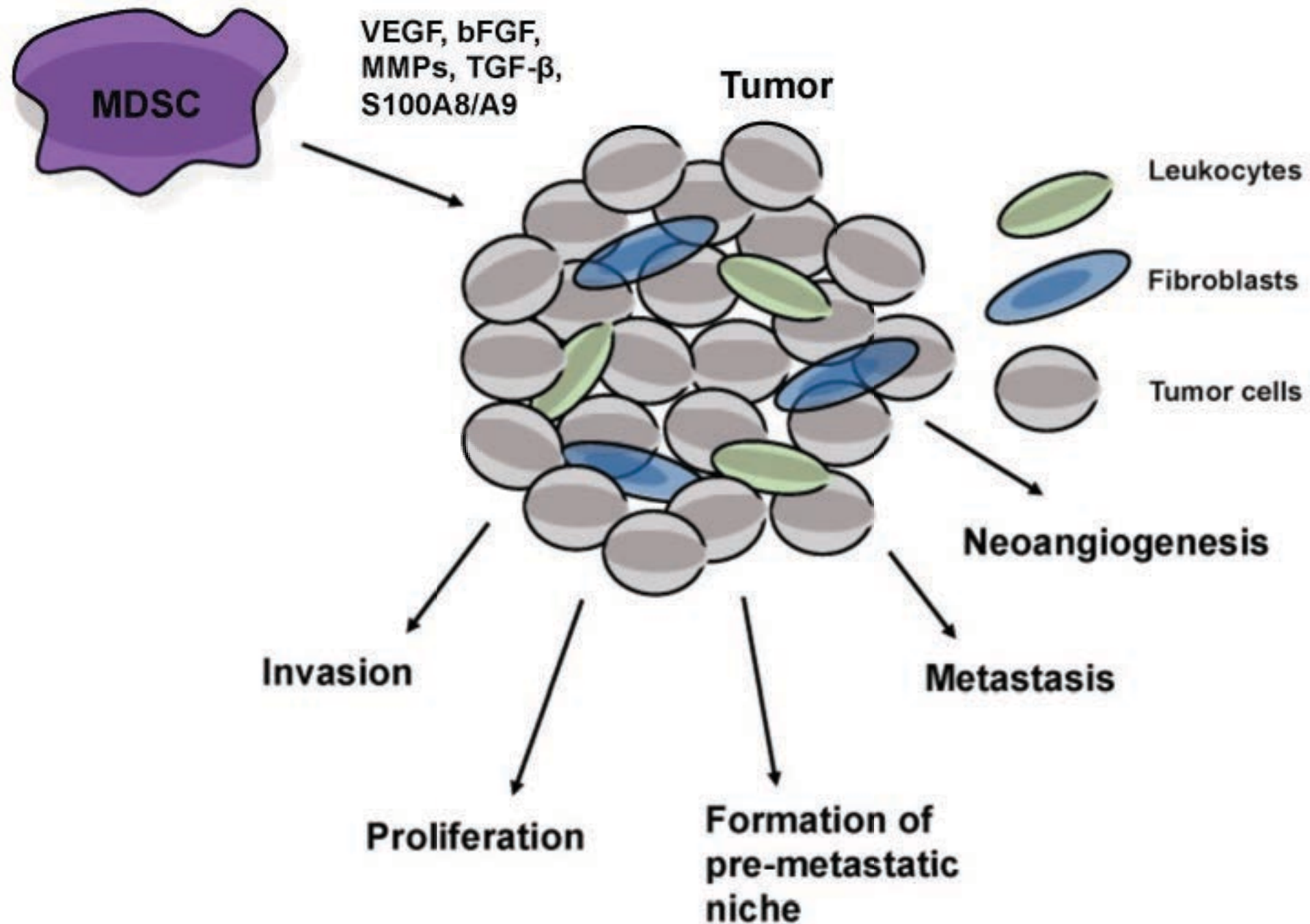
MDSC

- MDSCs expand in pathological situations such as chronic infections and cancer
- Cancer is associated with altered hematopoiesis and development of MDSC

MDSC

- MDSCs infiltrate tumors
- Inhibition of T cells and NK cells immune
- MDSCs also accelerate angiogenesis, tumor progression and metastasis through the expression of cytokines and factors such as TGF-beta and IL10
- MDSC works on L-arginine metabolism & ROS

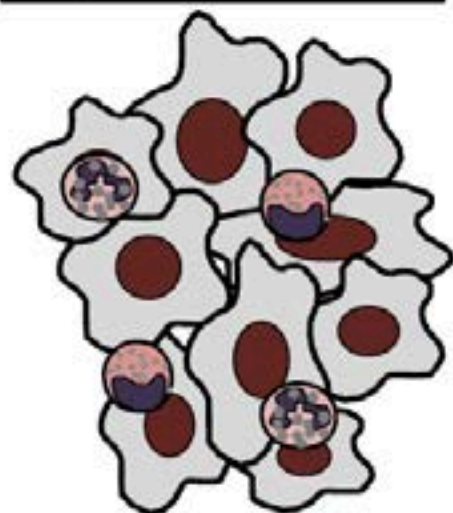




Primary Tumor

Angiogenesis

Inhibition of immune response



Proliferation

Invasion /
Mesenchymal Transition

Circulation

Extravasation

Cancer cell

MDSC

MDSC

Intravasation

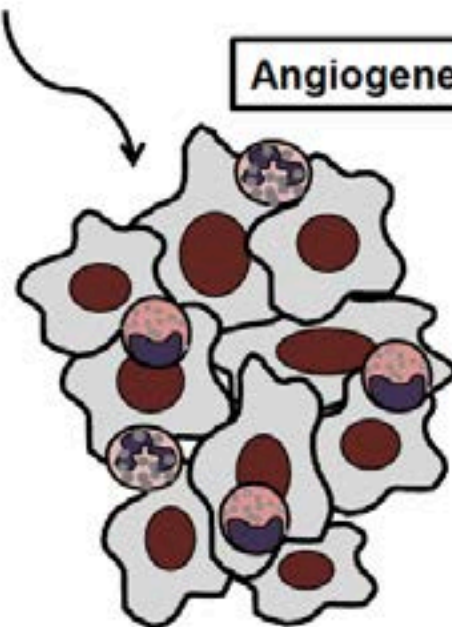
Metastases

Inhibition of immune response

Angiogenesis

Release of growth factors

Pre-metastatic niche formation



TAM

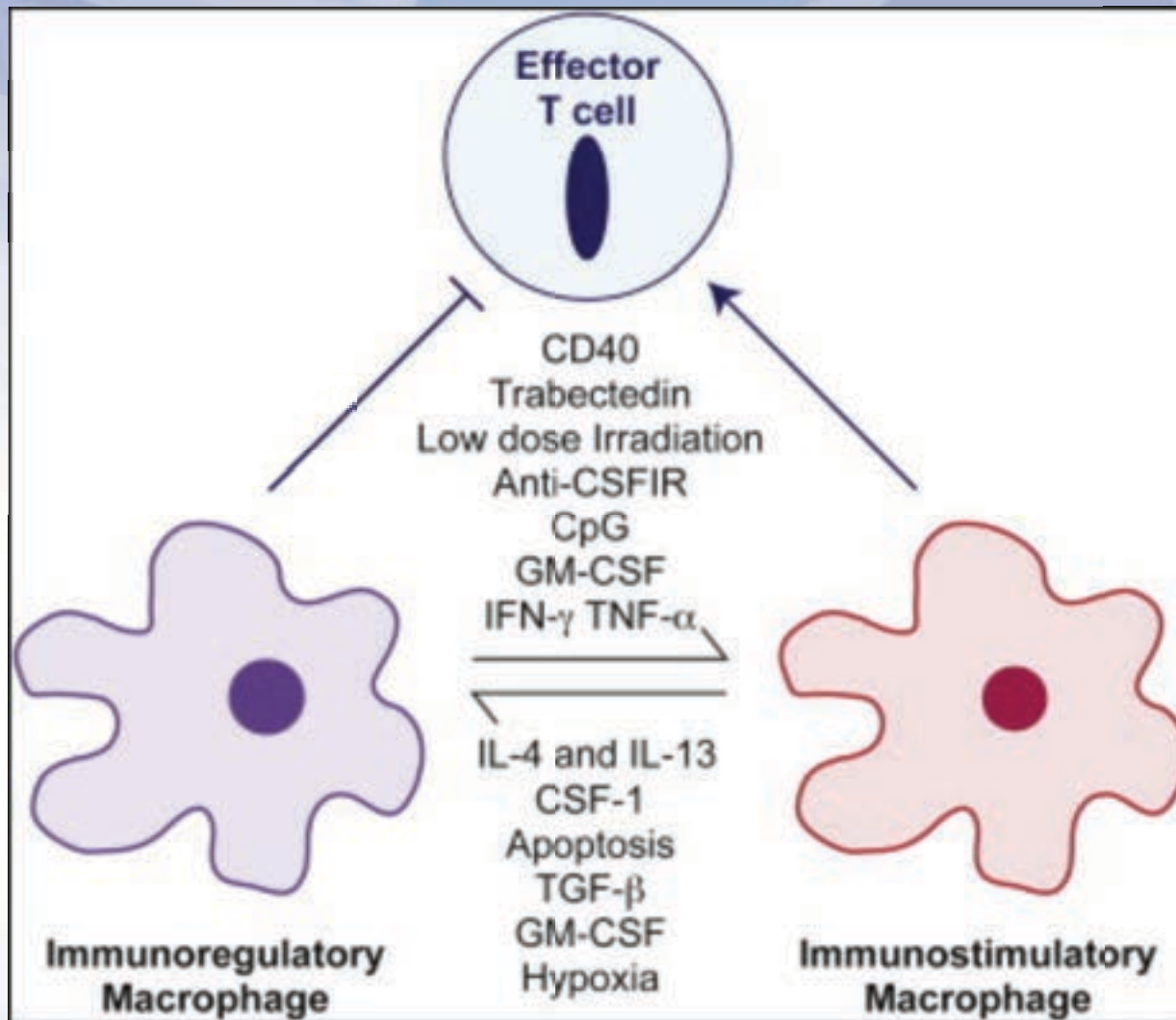
Tumor-associated macrophages



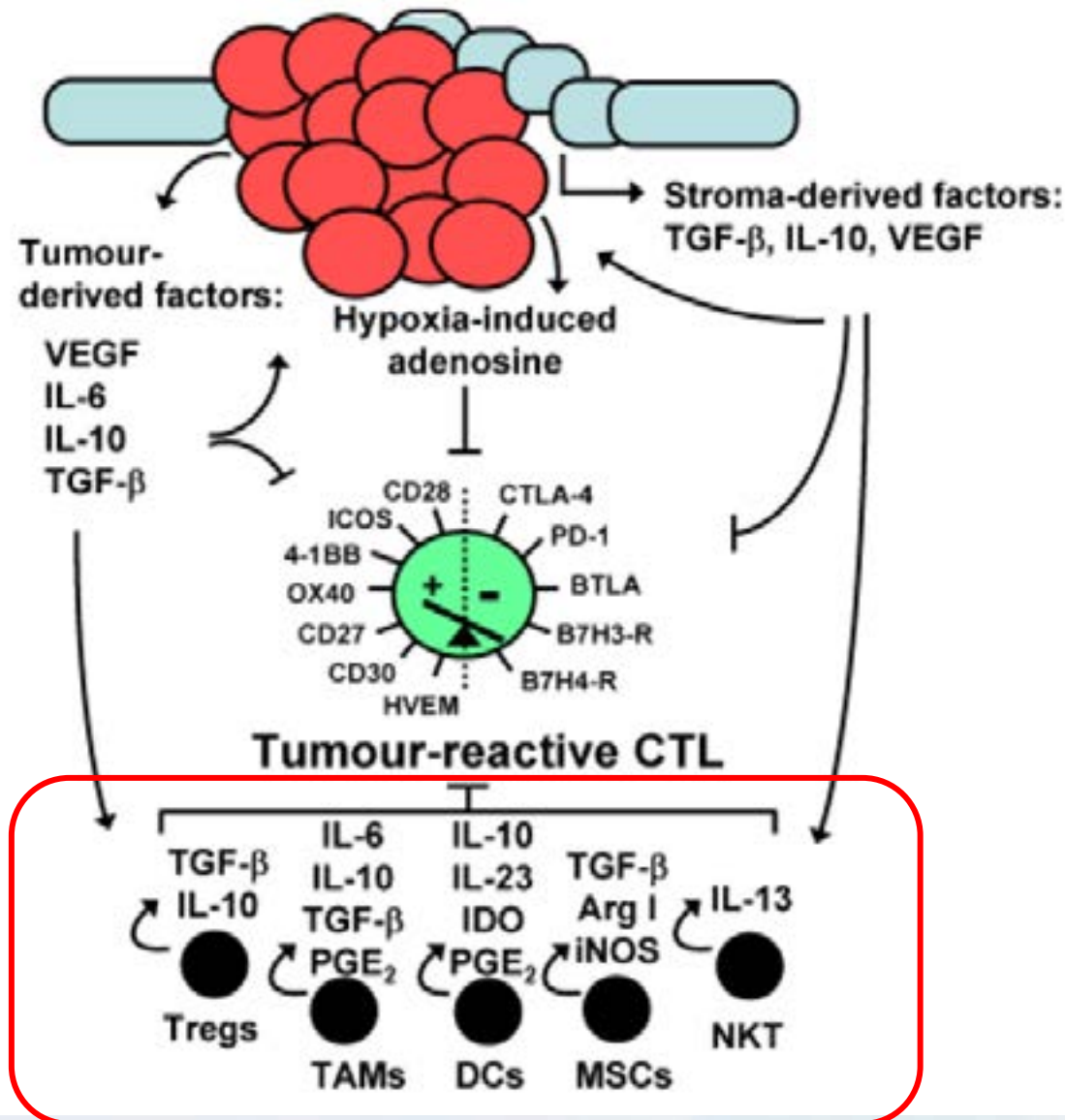
Tumor-associated macrophages

- (**TAMs**) are a group of cells that originate mainly from the peri-tumoral tissue or bone marrow
- Two main types: M1 and M2
- Infiltrating M1 TAMs present in the early stages of tumorigenesis
- Secrete pro-inflammatory cytokines and in turn inhibit tumor growth
- M2 TAMs are predominant in the late stage of tumor formation
- It remains unclear when M1 TAMs are transformed to M2 TAMs
- Tumor hypoxia is currently thought to be associated with such a shift

Tumor-associated macrophages



Factors inhibiting anti-tumor immune response



HOW CAN TUMORS EVADE THE IMMUNE RESPONSE

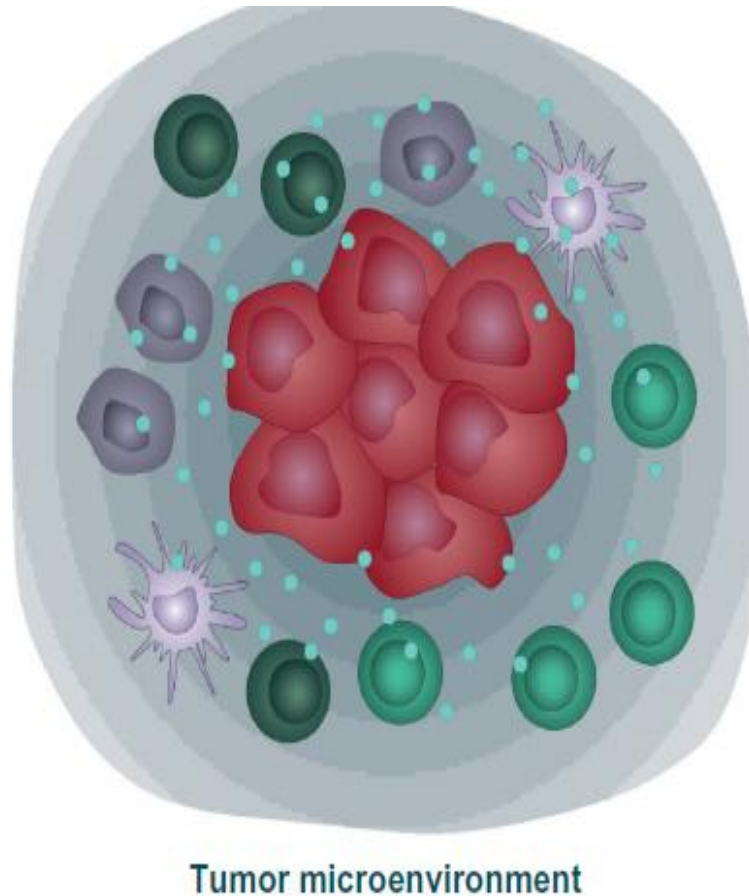
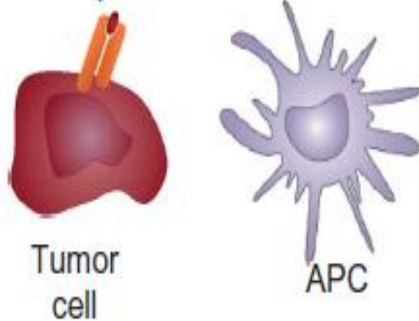
B Recruitment of immunosuppressive cells



A Ineffective presentation of tumor antigens to the immune system

Downregulation of MHC expression

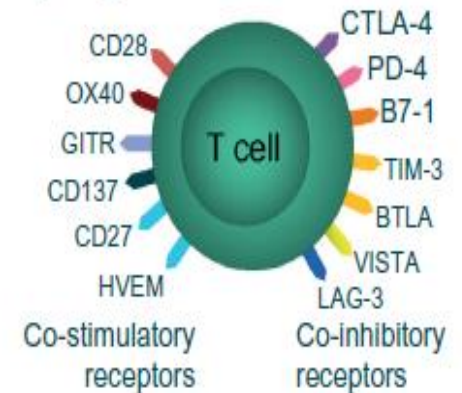
Suppression of APC



C Release of immunosuppressive factors

Factors/enzymes directly or indirectly suppress immune response

D T-cell checkpoint dysregulation



APC = antigen-presenting cells; BTLA = B- and T-lymphocyte attenuator; CD = cluster of differentiation; CTLA-4 = cytotoxic T-lymphocyte-associated protein-4; GITR = glucocorticoid induced tumor necrosis factor-related protein; HVEM = herpes virus entry mediator; LAG-3 = lymphocyte-activation gene 3; MDSC = myeloid-derived suppressor cell; MHC = major histocompatibility complex; PD-4 = programmed death receptor-4; TIM-3 = T-cell immunoglobulin domain and mucin domain-3; Tregs = regulatory T cells; VISTA = V-domain immunoglobulin-containing suppressor of T-cell activation.

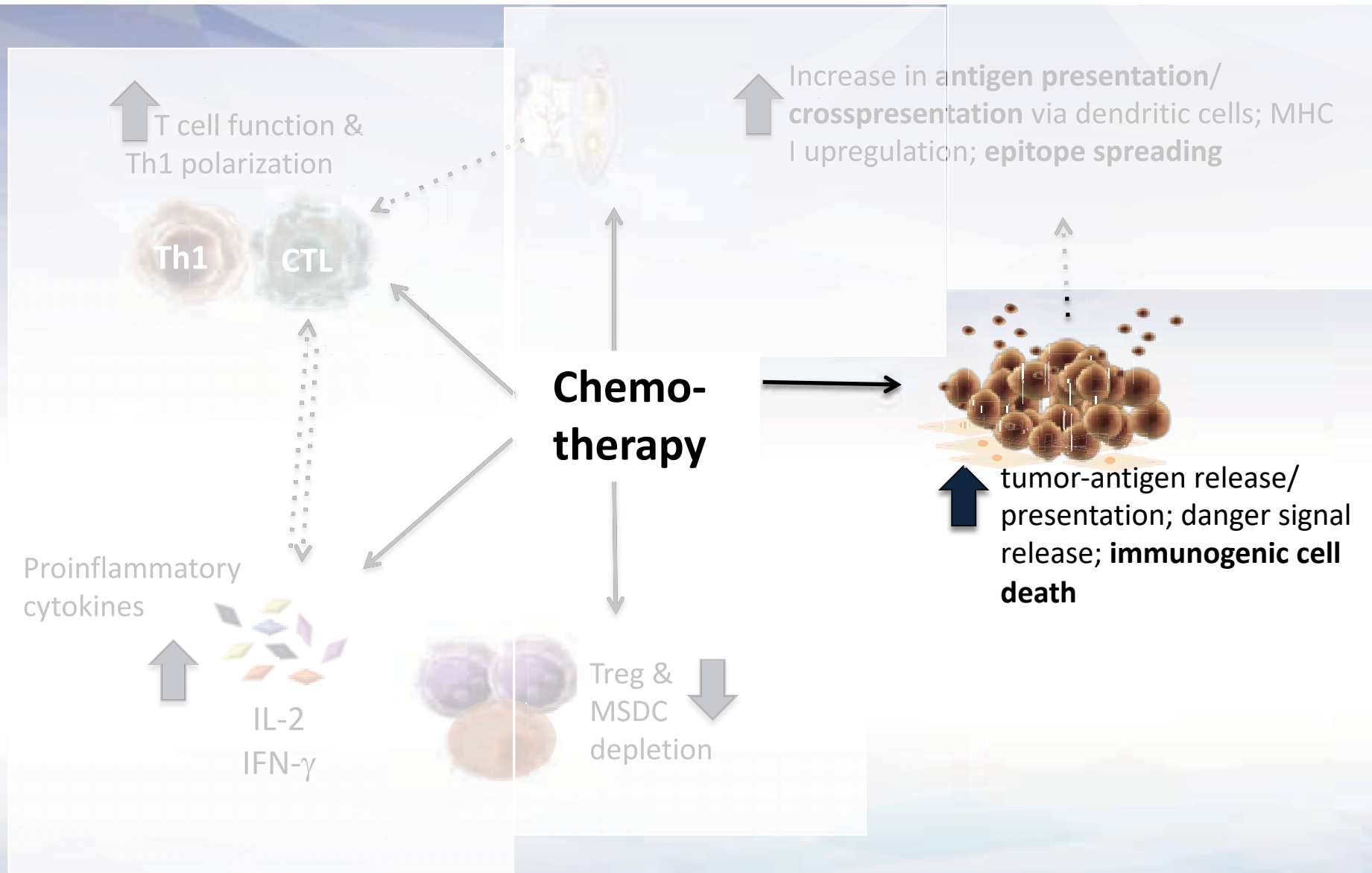
Immune response and chemotherapy



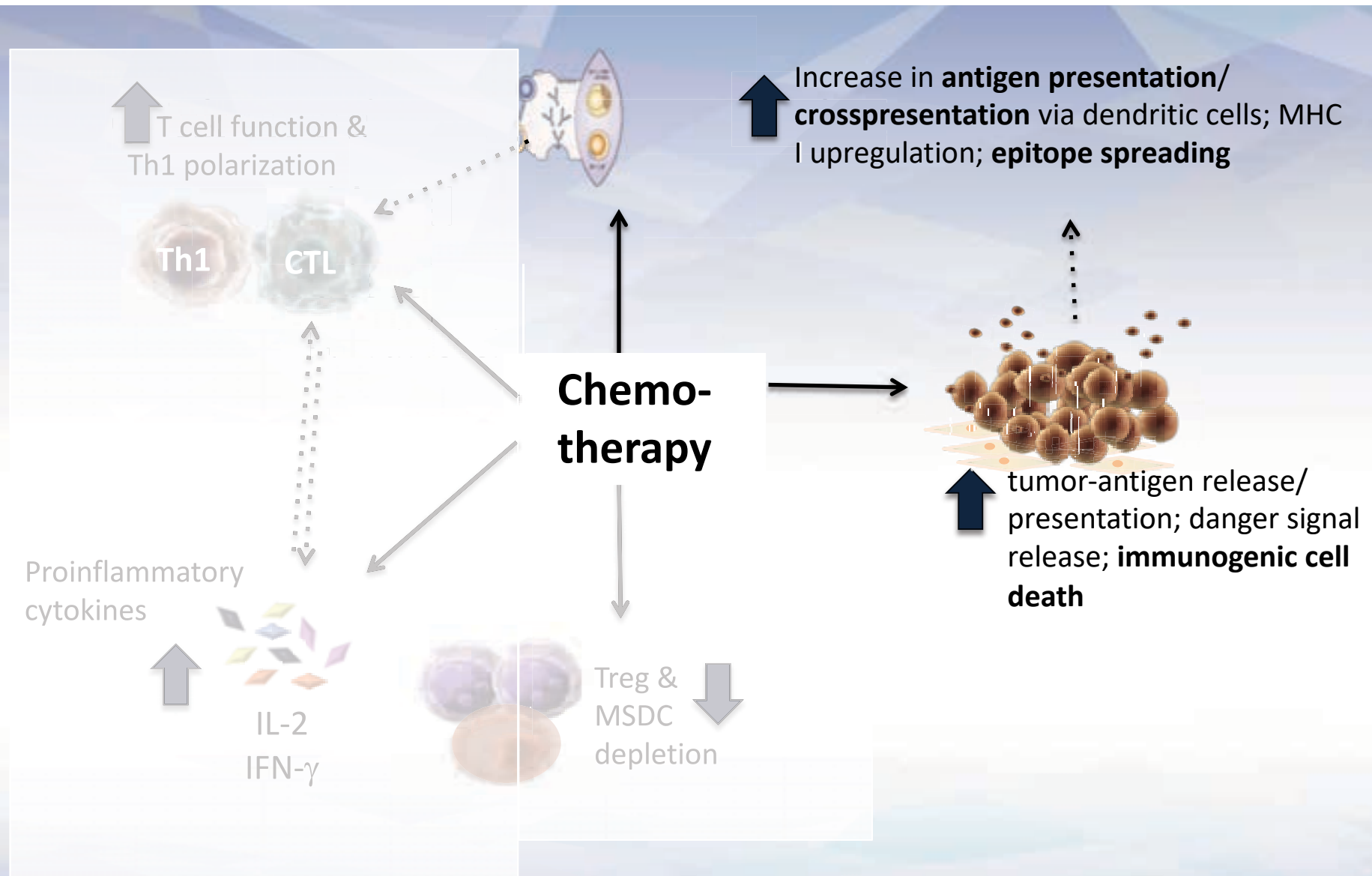
Immune response and chemotherapy

Drug	Effect on immune system
Taxanes	<ul style="list-style-type: none">• Enhances T cell and NK cell function• Increases recruitment of TIL• Increase efficacy of immuno-stimulatory agents
Doxorubicin	<ul style="list-style-type: none">• Induces immunogenic cell death• Increases proliferation of CD8 T cells• Stimulates antigen presentation by DCs• Stimulates MCP1 and M6PR
Cyclophosphamide	<ul style="list-style-type: none">• Induces immunogenic cell death• Suppresses Treg inhibitory functions and restores the proliferative capacity of effector T cells and NK cell cytotoxicity
Gemcitabine	<ul style="list-style-type: none">• Reduces the number of myeloid suppressor cells• Increases the antitumor activity of CD8(+) T cells and activated NK cells
Oxaliplatin	<ul style="list-style-type: none">• Induces immunogenic cell death• Increases MHC I complex• Inhibits PD-L2

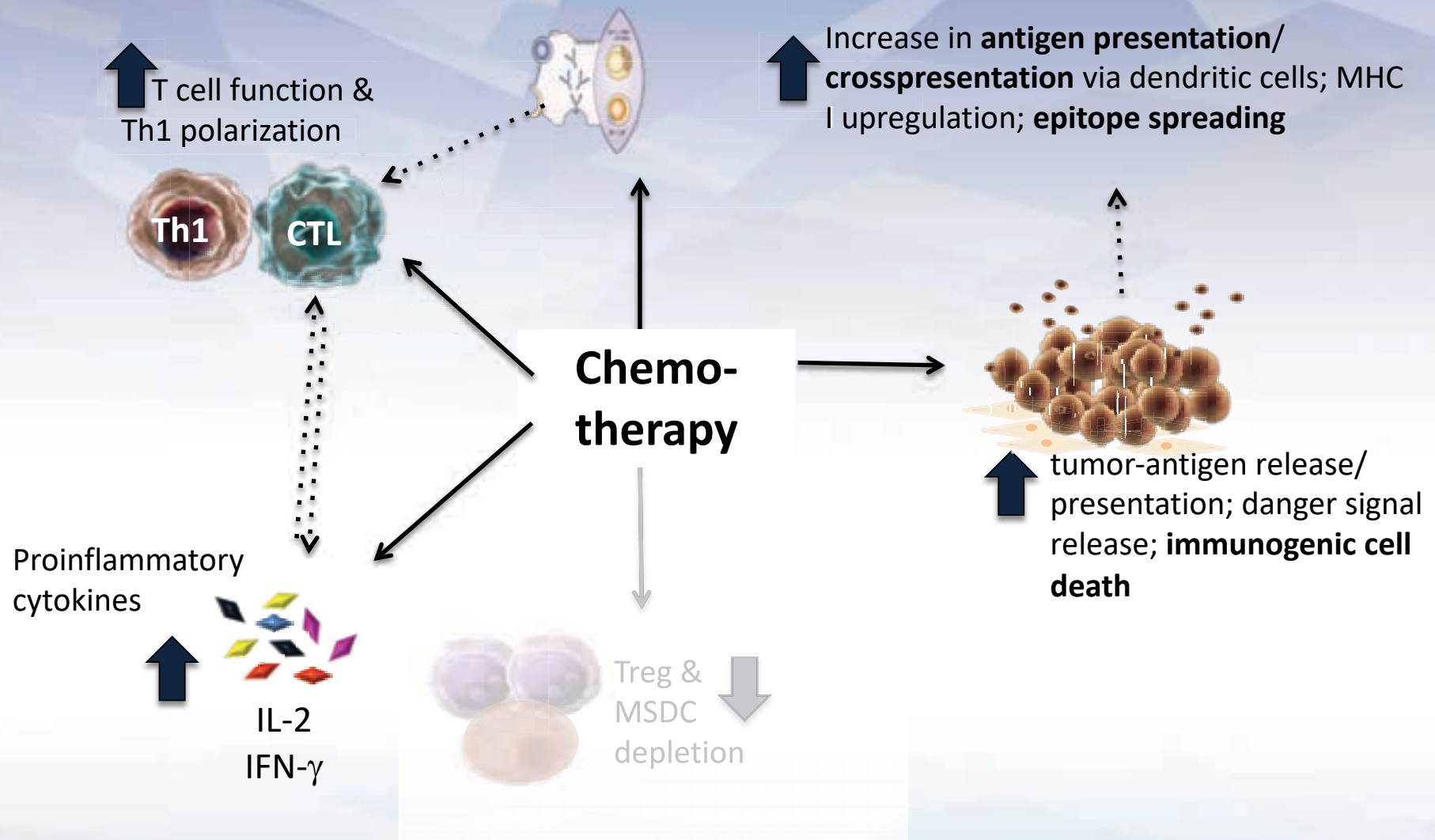
Chemotherapy: Pleiotropic stimulatory effects on the immune system



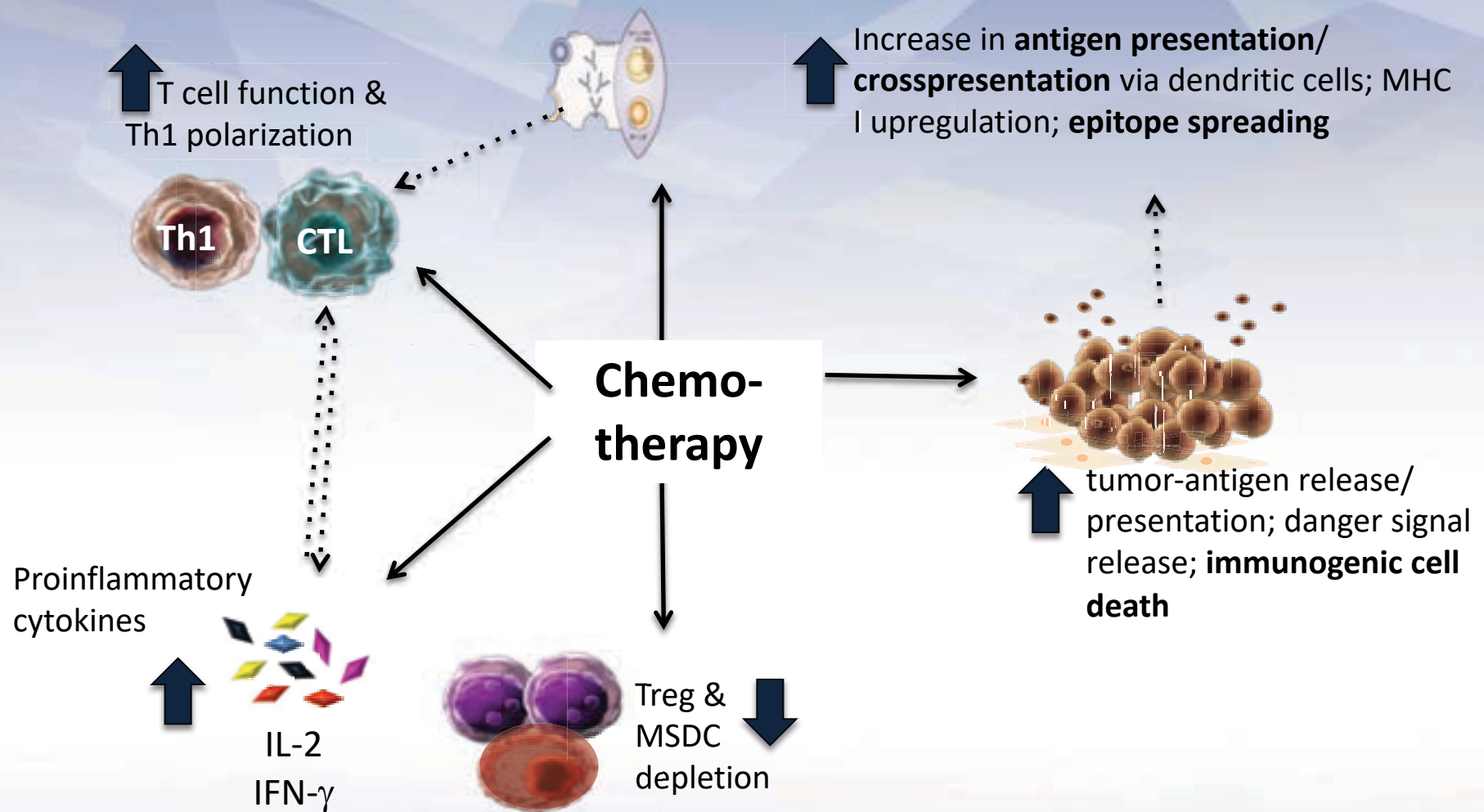
Chemotherapy: Pleiotropic stimulatory effects on the immune system



Chemotherapy: Pleiotropic stimulatory effects on the immune system



Chemotherapy: Pleiotropic stimulatory effects on the immune system



Immunogenic Cell Death



ICD

- Agents inducing ICD are targeting endoplasmic reticulum (ER), leading to ER stress and production of reactive oxygen species (ROS)
- Both ER stress and ROS production are key players of intracellular signaling pathways that govern ICD
- ICD is characterized by secretion of damage-associated molecular patterns (DAMPS)

ICD – DAMPs

Calreticulin & Heat-Shock Proteins

- Calreticulin (CRT) "eat me" signal
- Normally in the lumen of endoplasmic reticulum (ER)
- Heat-shock proteins (HSPs), HSP70 and HSP90
- On the cell surface HSPs have an immunostimulatory effect
- HSP Interact with antigen-presenting cell (APC) surface receptors like CD91 and CD40
- Facilitate cross-presentation of antigens derived from tumour cells on MHC class I molecule, which then leads to the CD8+ T cell response

ICD – DAMPS

High Motility Group B1 (HMGB1)

- Late apoptotic marker and its release to the extracellular space seems to be required for the optimal release and presentation of tumor antigens to dendritic cells
- It binds to several pattern recognition receptors (PRRs) such as Toll-like receptor (TLR) 2 and 4, which are expressed on APCs

ICD – DAMPS

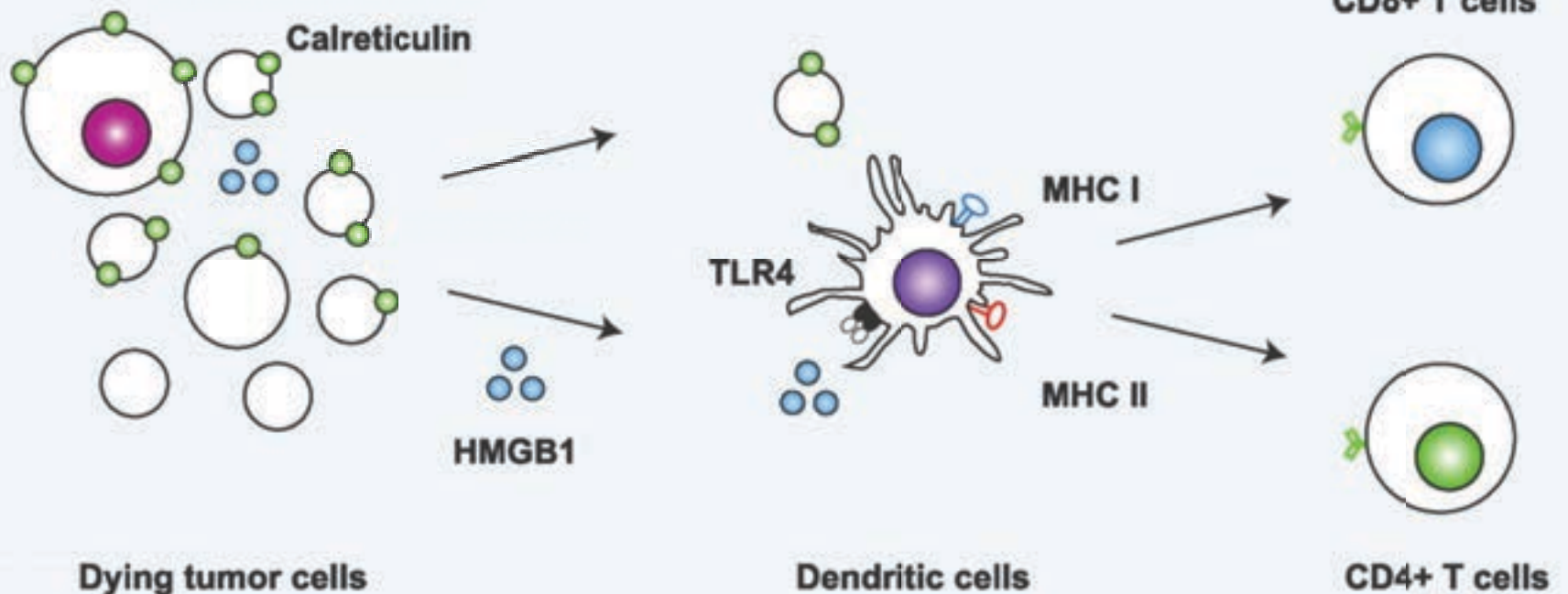
ATP

- ATP released during ICD
- Functions as a "find-me" signal for monocytes at the site of apoptosis

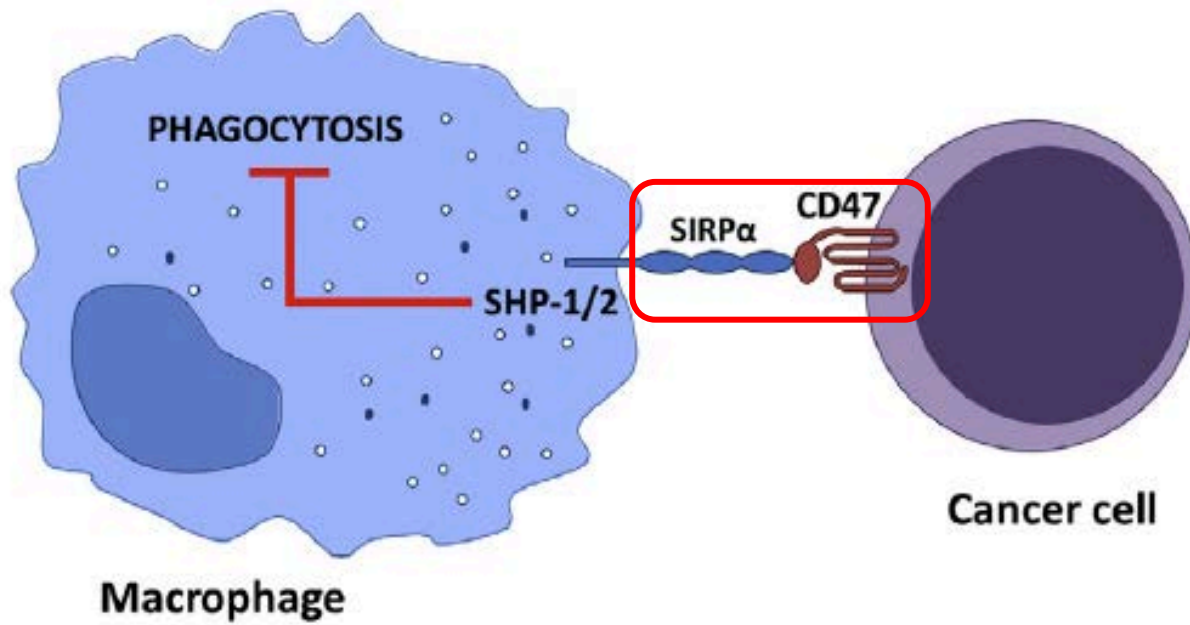
Crosspresentation of tumor derived antigens

Cell death induced by immunogenic radio/chemotherapy

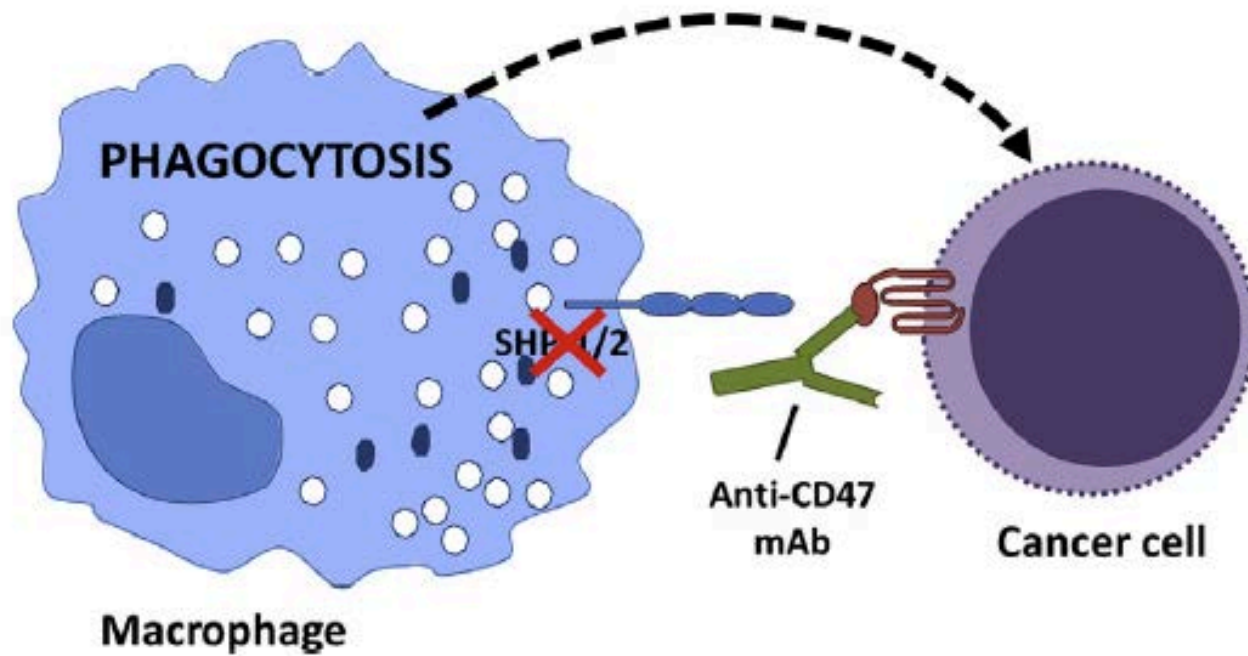
Antitumor immune response

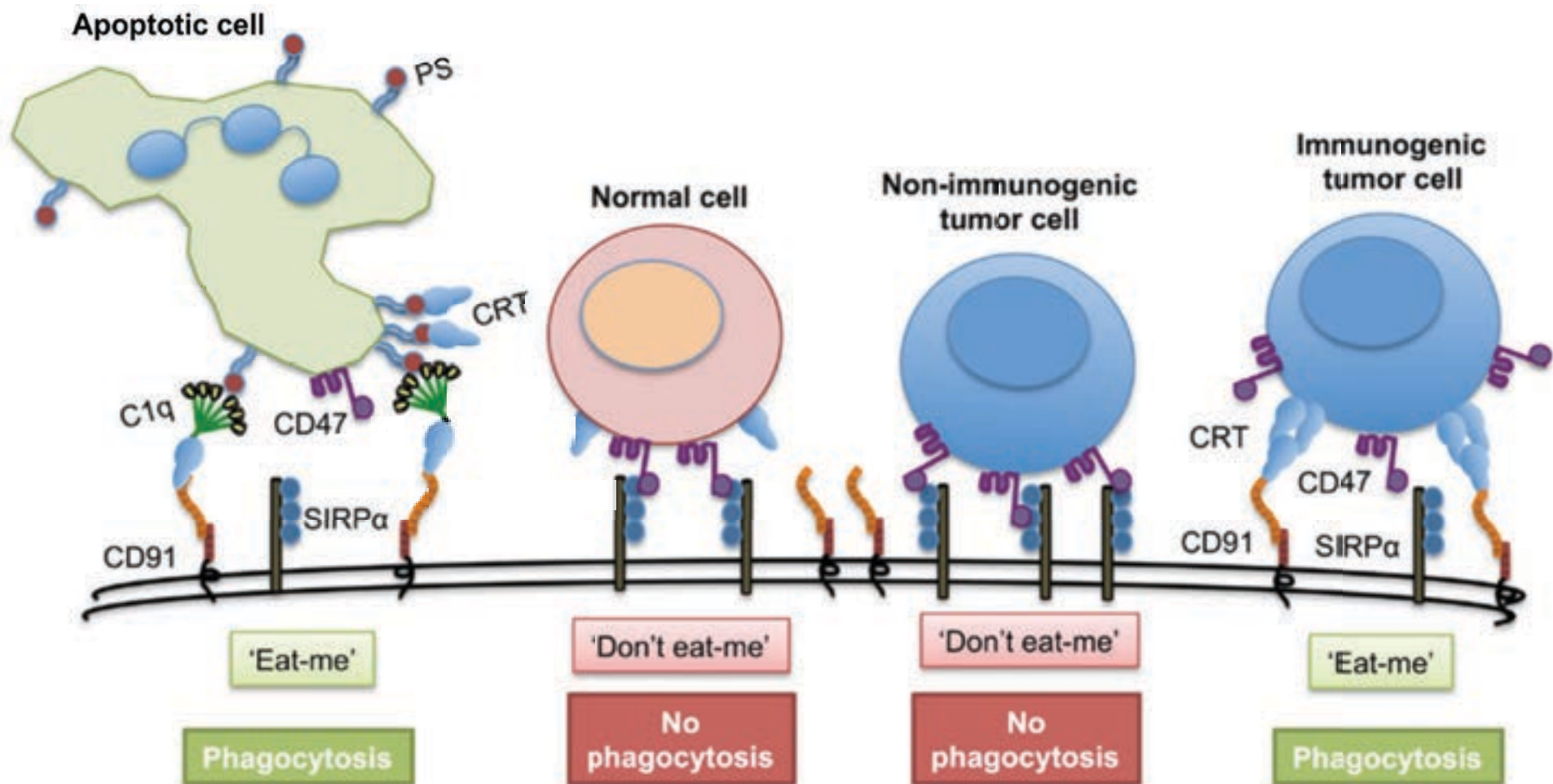


A



B



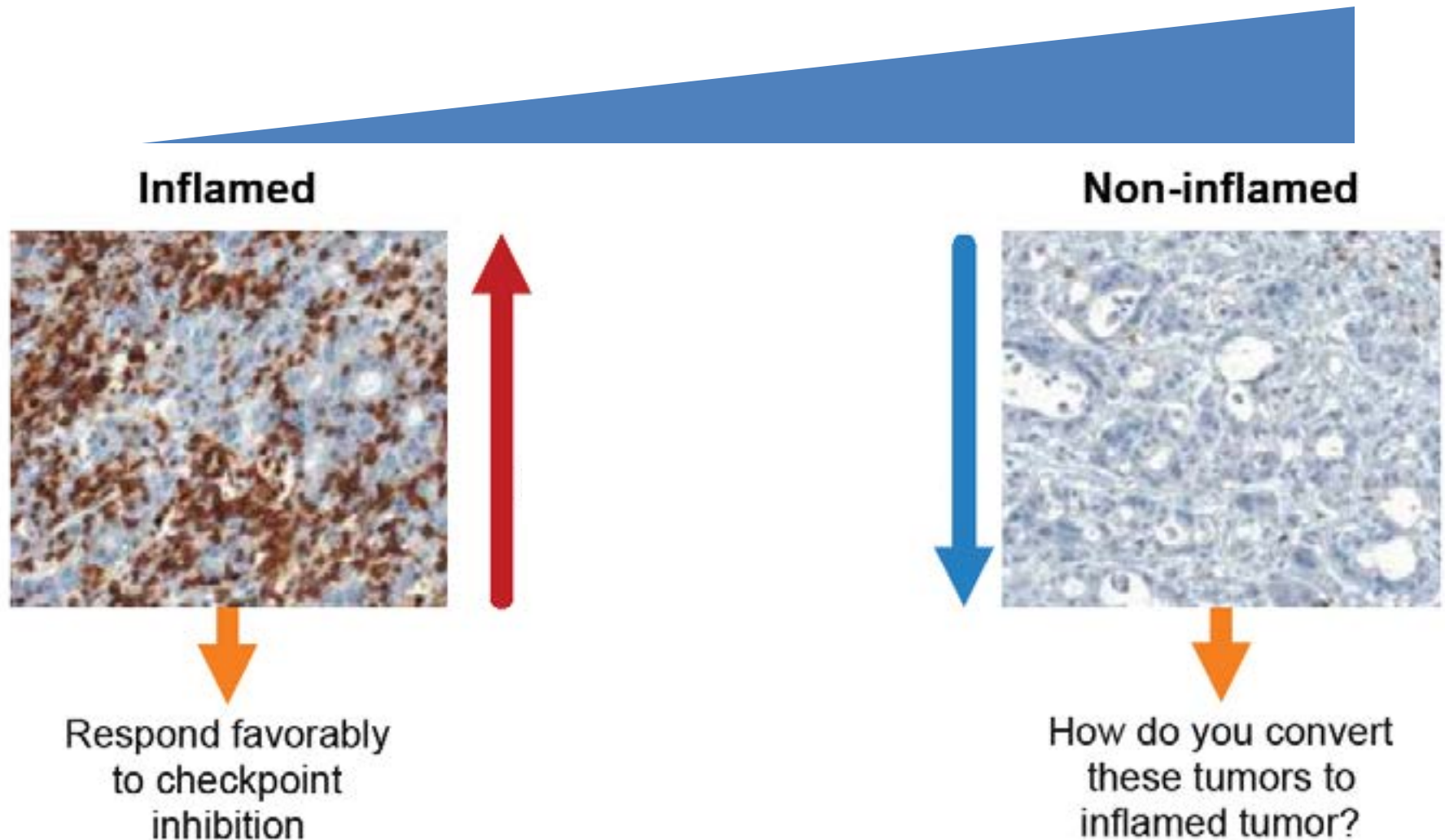


Phagocyte

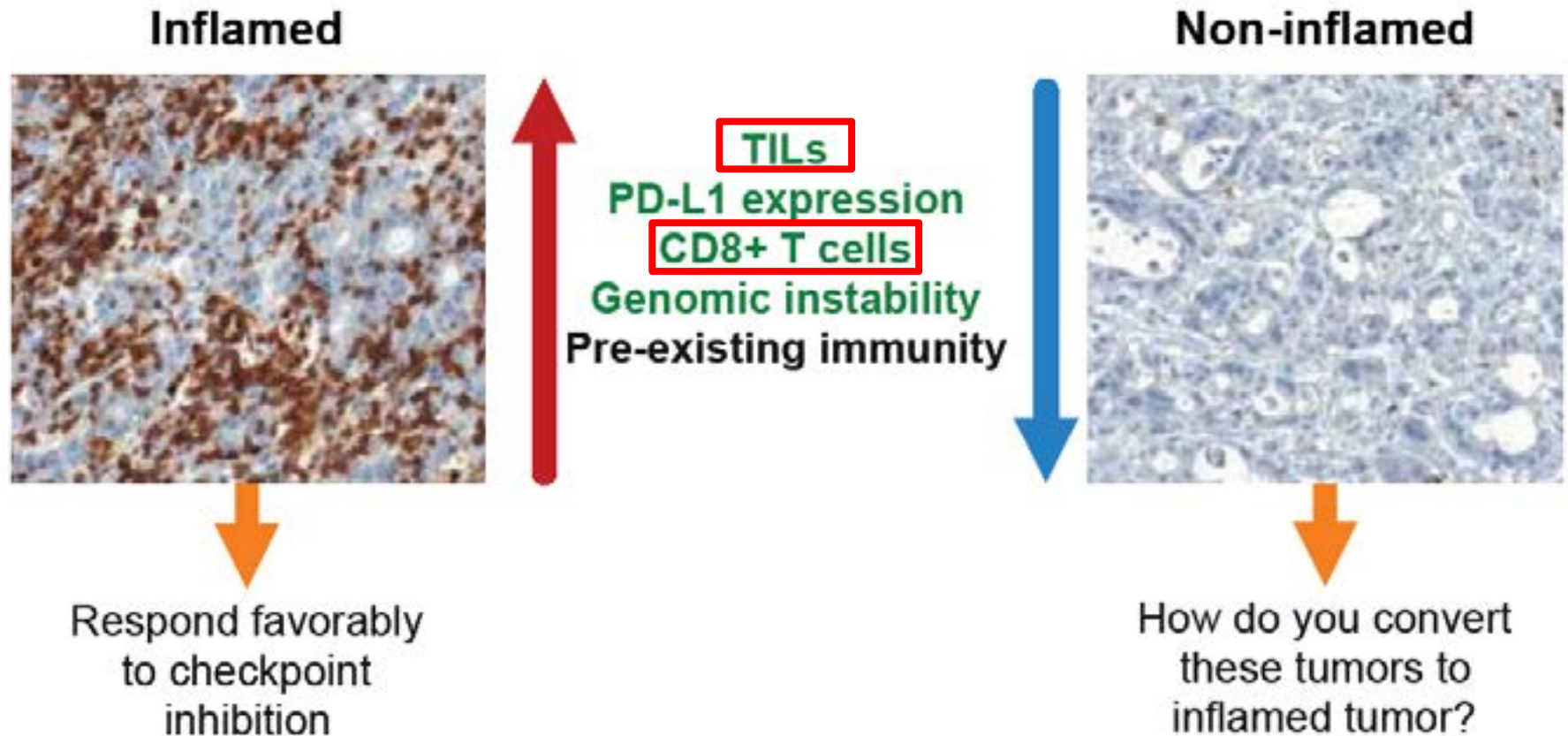
Immunogenic vs. Non-immunogenic Tumors



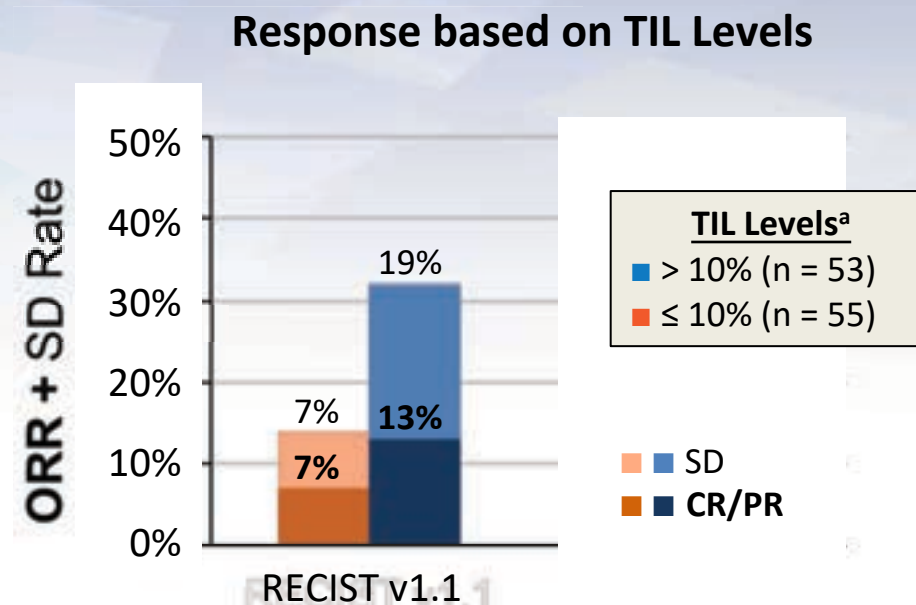
Immunogenic vs. Non-immunogenic Tumors



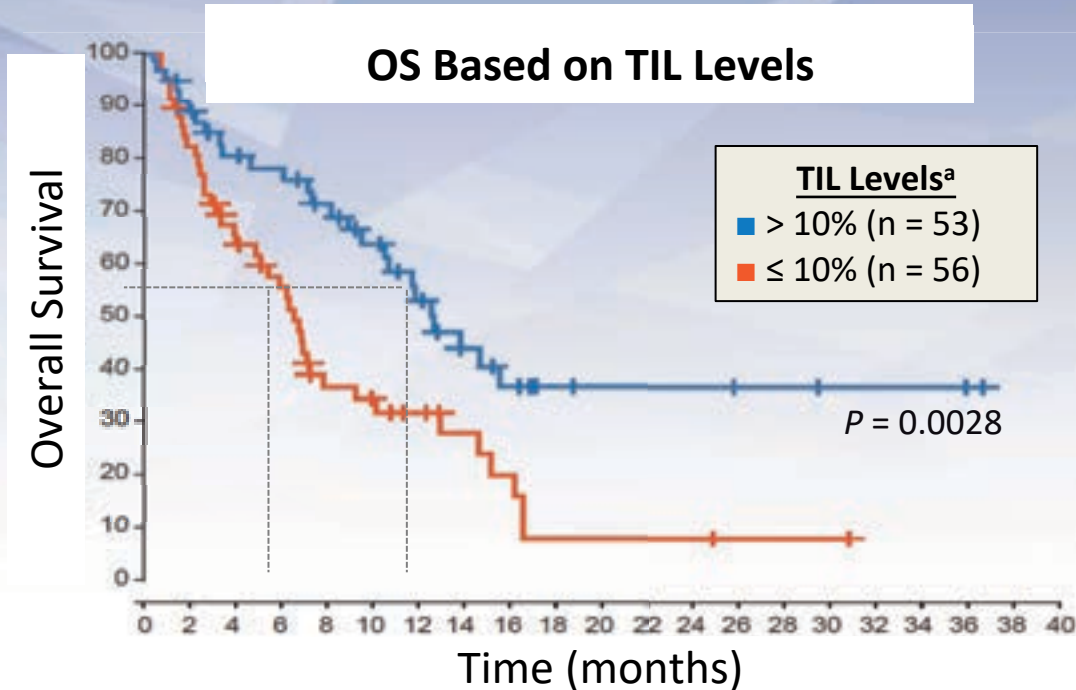
Immunogenic vs. Non-immunogenic Tumors



Biomarker Analysis: Tumor-Infiltrating Lymphocytes



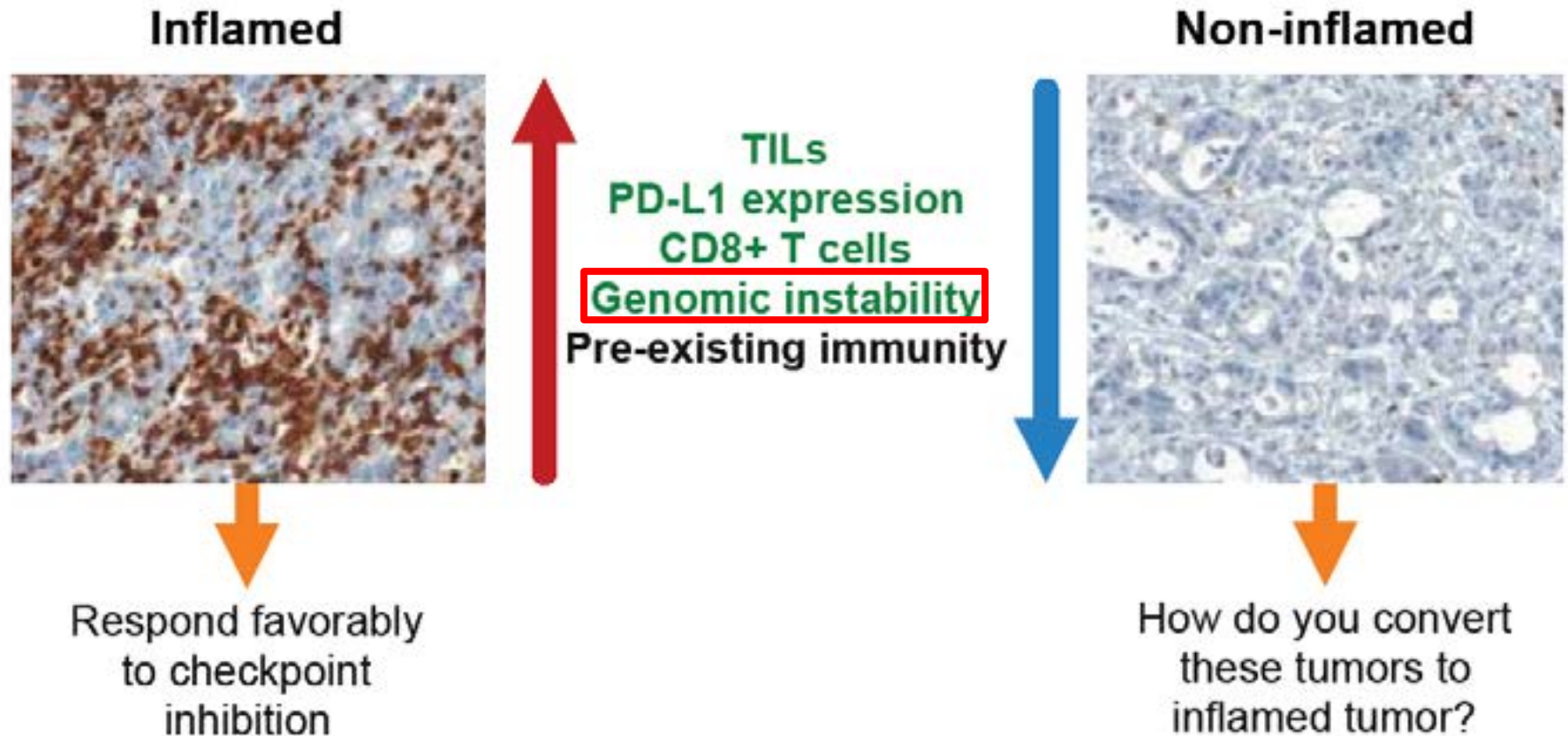
Biomarker Analysis: Tumor-Infiltrating Lymphocytes



	≤ 10% TILs (n = 53)	> 10% TILs (n = 56)
mOS (95% CI)	6.6 mo (4.9, 10.2)	12.6 mo (10.5, NA)

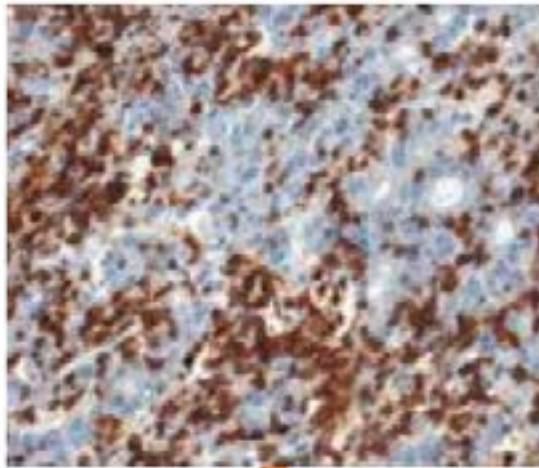
- Higher ORR and longer OS were seen with higher baseline TIL (CD8) infiltration

Immunogenic vs. Non-immunogenic Tumors

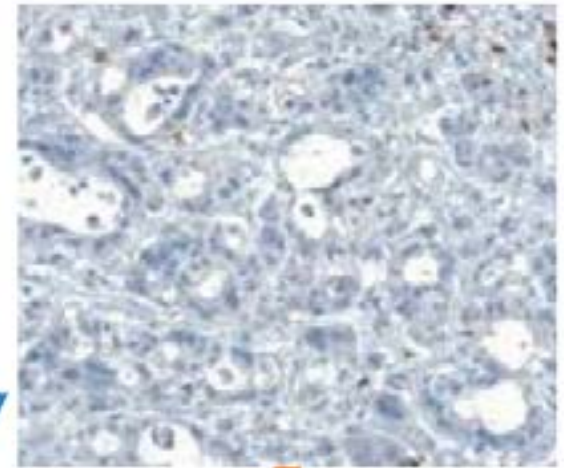


Immunogenic vs. Non-immunogenic Tumors

Inflamed



Non-inflamed

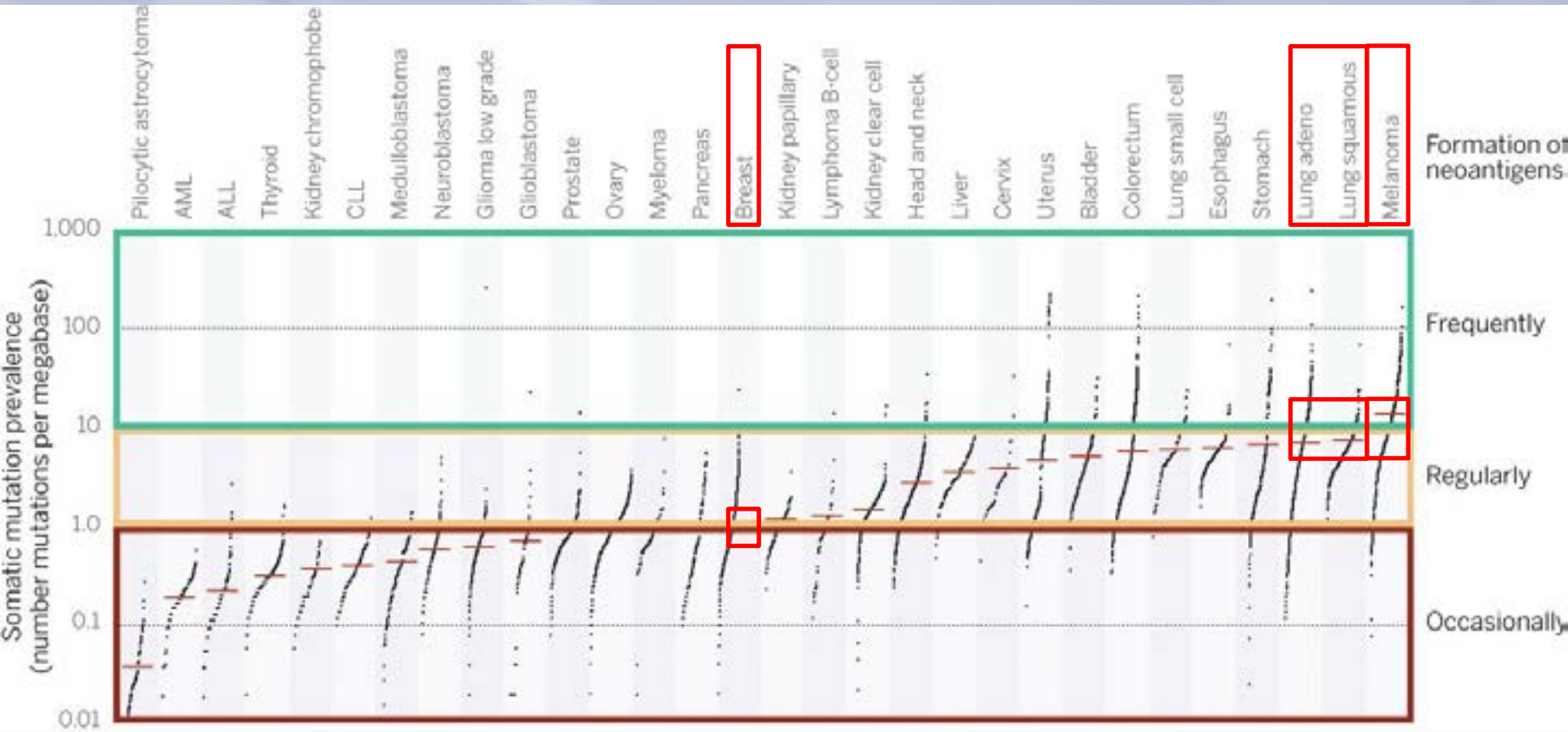


TILs
PD-L1 expression
CD8+ T cells
Genomic instability
Pre-existing immunity

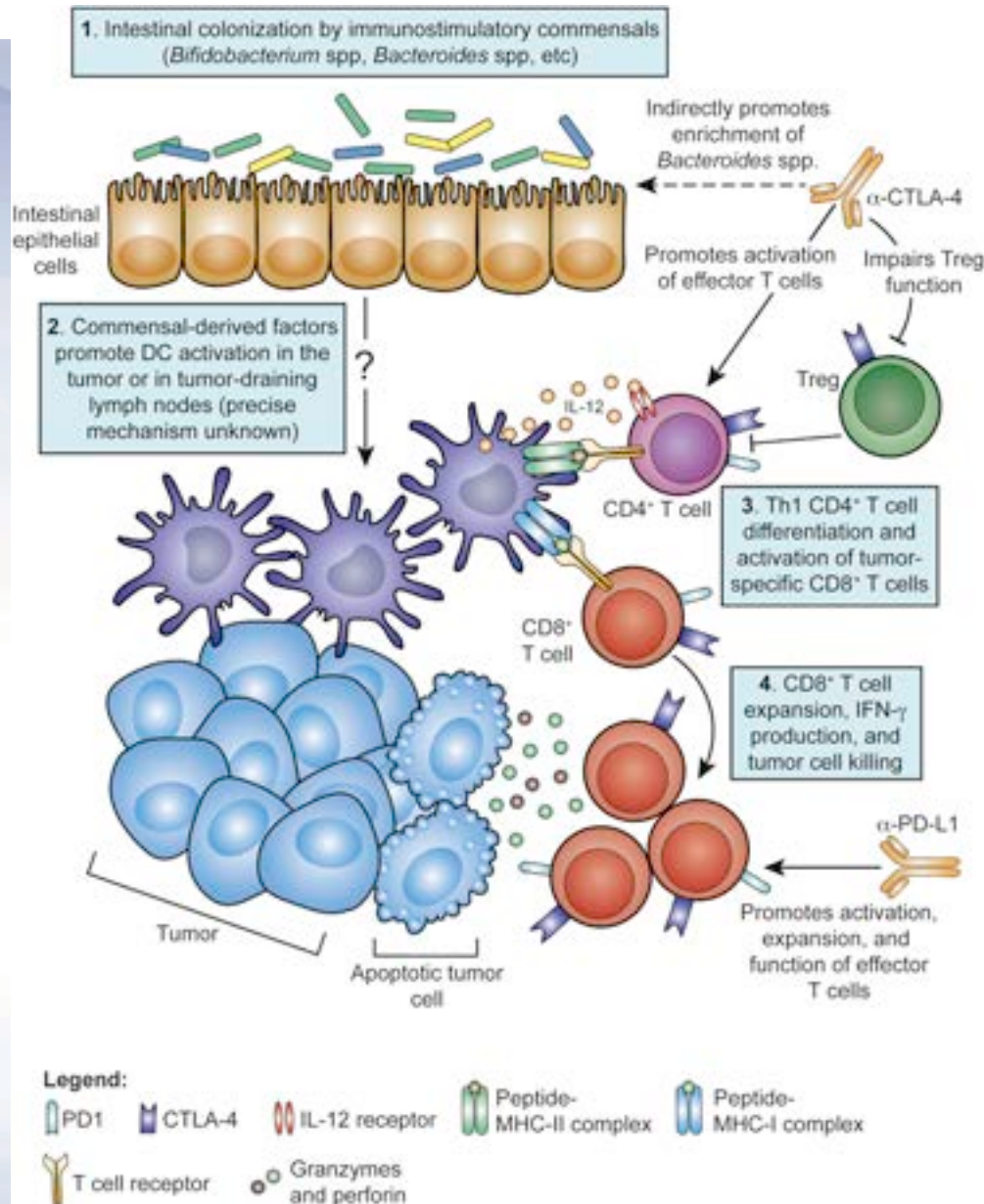
Respond favorably
to checkpoint
inhibition

How do you convert
these tumors to
inflamed tumor?

Immunogenic vs. Non-immunogenic Tumors



MICROBIOME



Conclusion

- Major advances in the understanding of the immune system in cancer
- Major advances in immunotherapy in cancer treatment
- Additional work is needed
- Long term remissions & possible cures



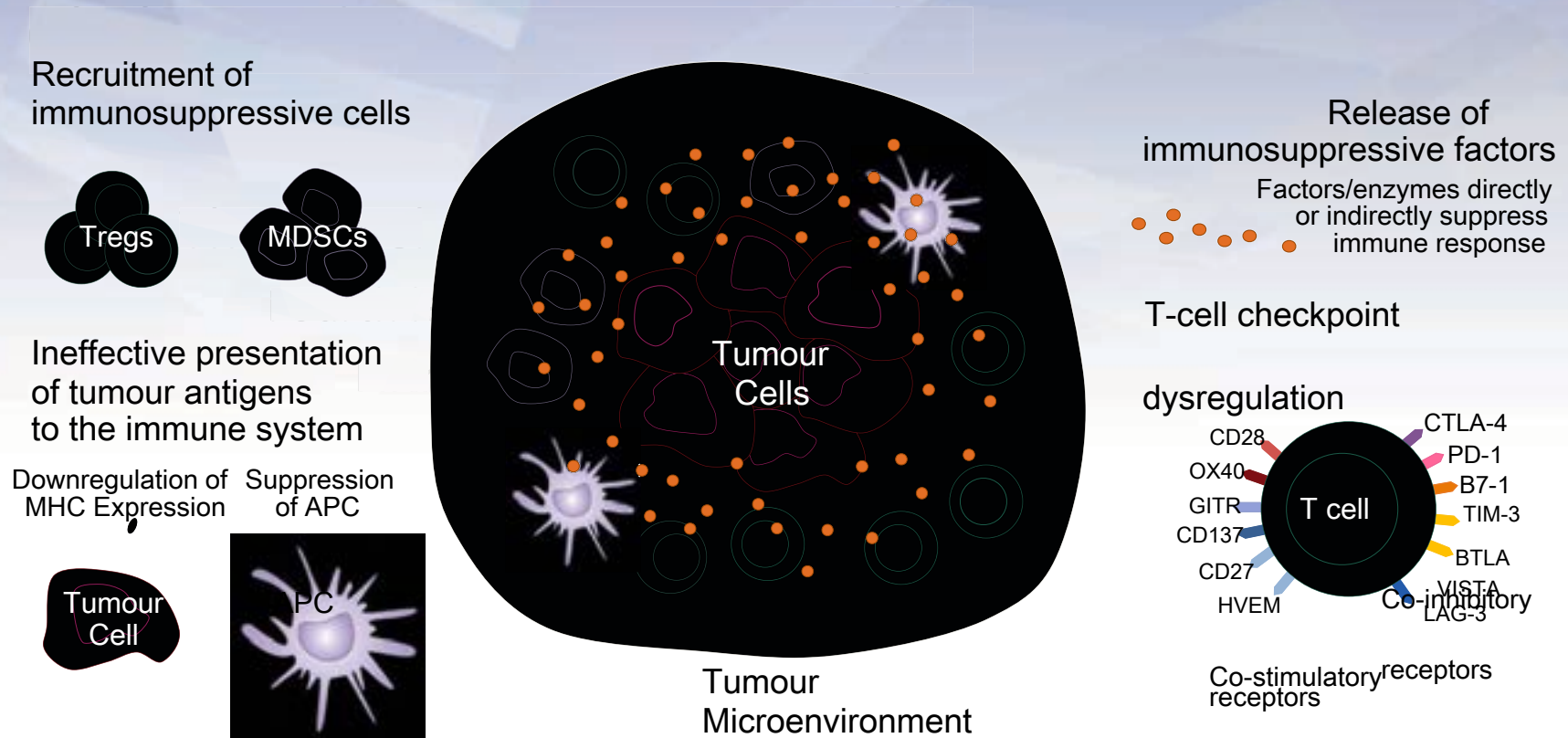


Thank You



Immune Escape in Cancer

Many tumours escape the immune response by creating an immunosuppressive microenvironment that prevents an effective antitumour response^{1,2}



The mechanisms tumours use to escape the immune system provide a range of potential therapeutic targets for cancer

APC=antigen-presenting cell; MDSC=myeloid-derived suppressor cell; MHC=major histocompatibility complex; Treg=regulatory T cell.

1. Bremnes RM et al. J Thorac Oncol. 2011;6:824-833. 2. Jadus MR et al. Clin Dev Immunol. 2012:160724.

Combining immune pathways to refine response

- Immune balance is maintained through the combination of activating and inhibitory signaling pathways.^{8,9} Signaling pathways can work **in combination** to **directly** or **indirectly** modulate the activity of effector cells such as cytotoxic T cells and NK cells.



Immune pathways that involve molecules found on the surface of effector cells can **directly** inhibit or activate their antitumor activity.⁷⁷⁻⁷⁹

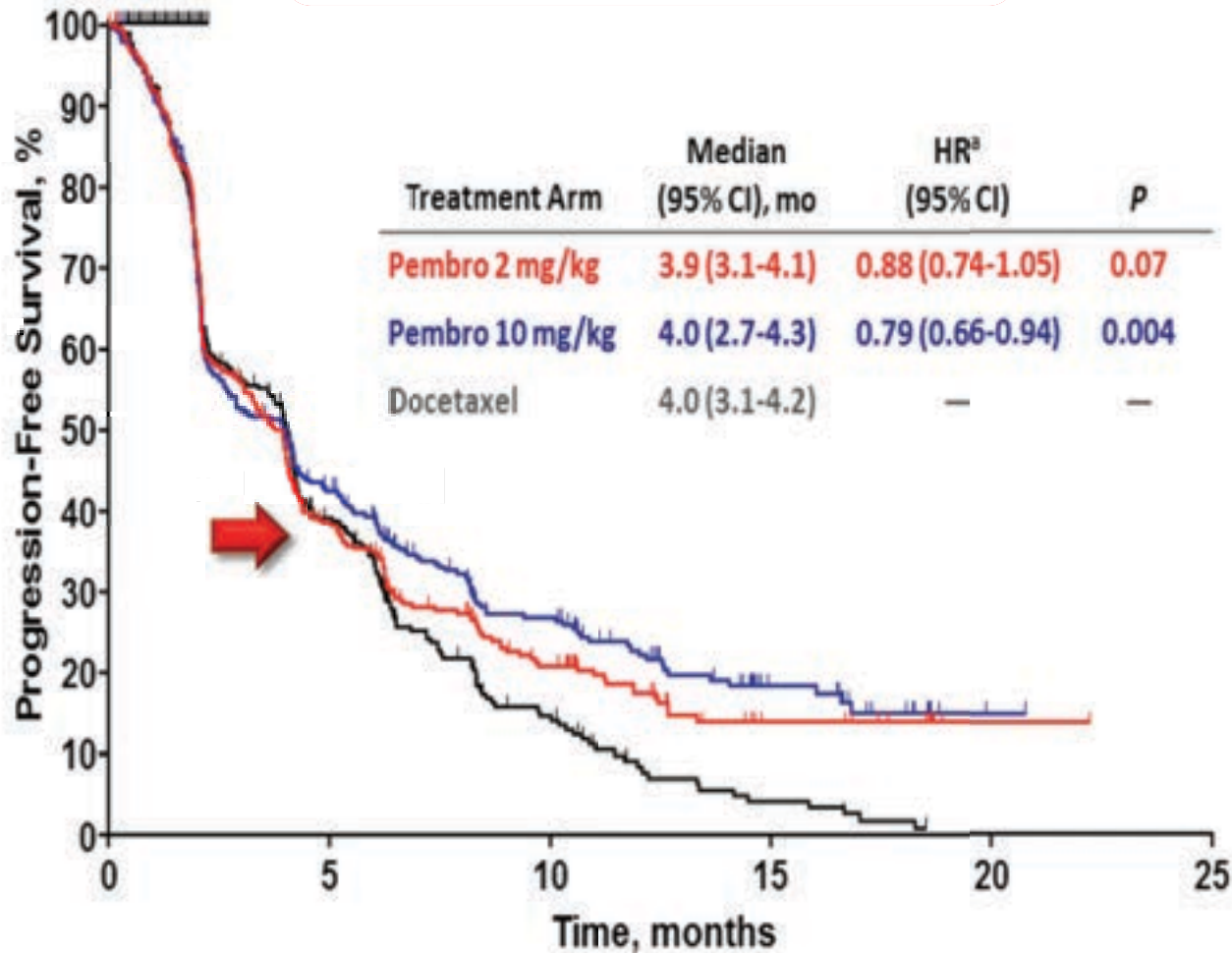


Inhibitory signals from other immune-related pathways can **indirectly** augment immune suppression.²⁵

Modulation of two immune pathways can more effectively activate immune activity compared with either pathway alone, as suggested by preclinical data.⁸⁰⁻⁸³

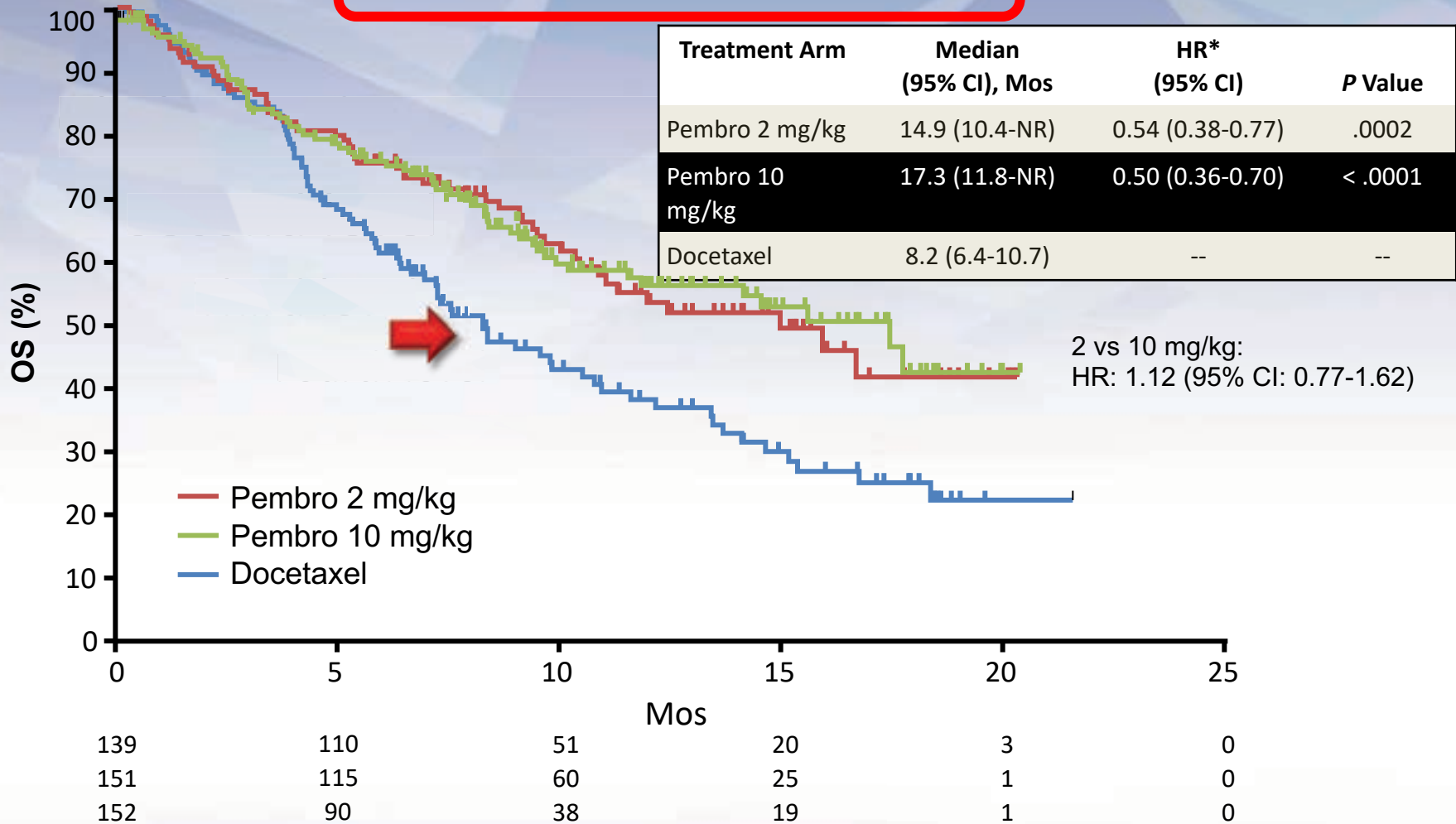
KEYNOTE-010: OS for PD-L1 TPS

$\geq 1\%$ Stratum



KEYNOTE-010: OS for PD-L1 TPS

≥ 50% Stratum

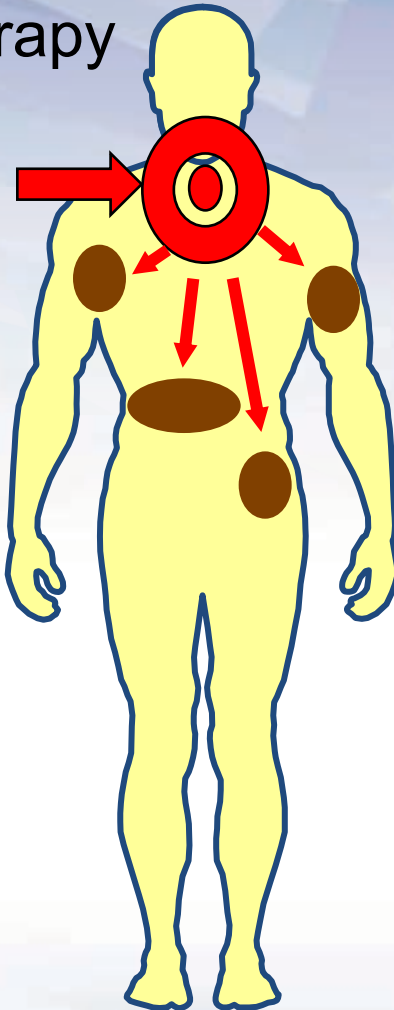


*Comparison of pembrolizumab vs docetaxel.

Two Paradigms for Advancing the Therapy of Metastatic Melanoma

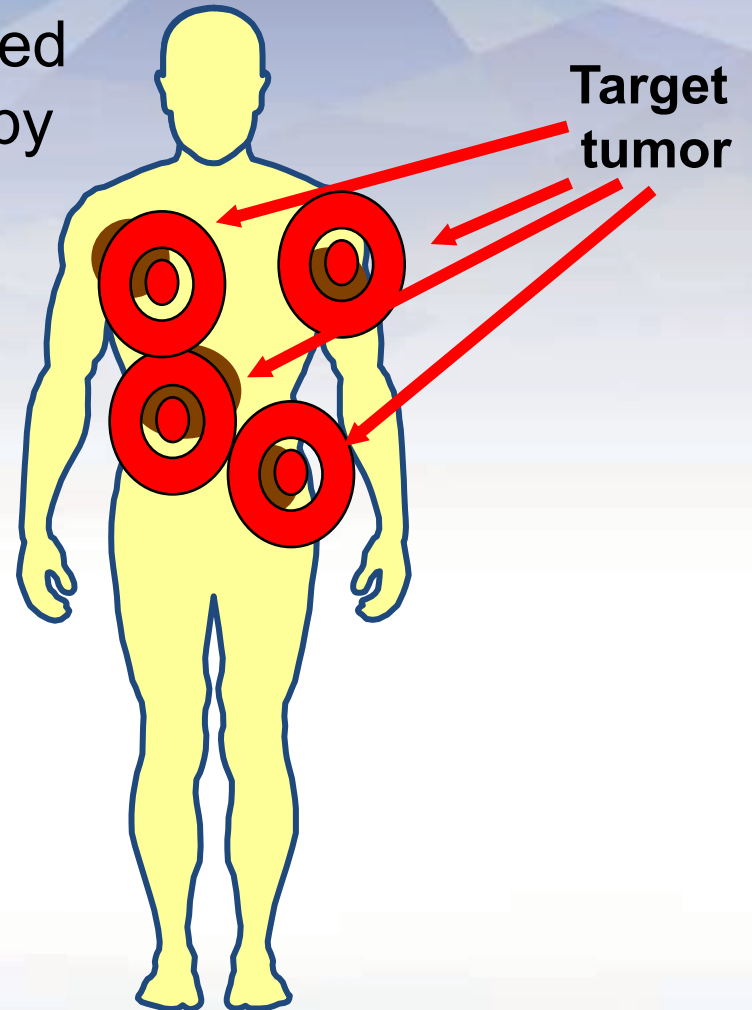
Immunotherapy

Target host

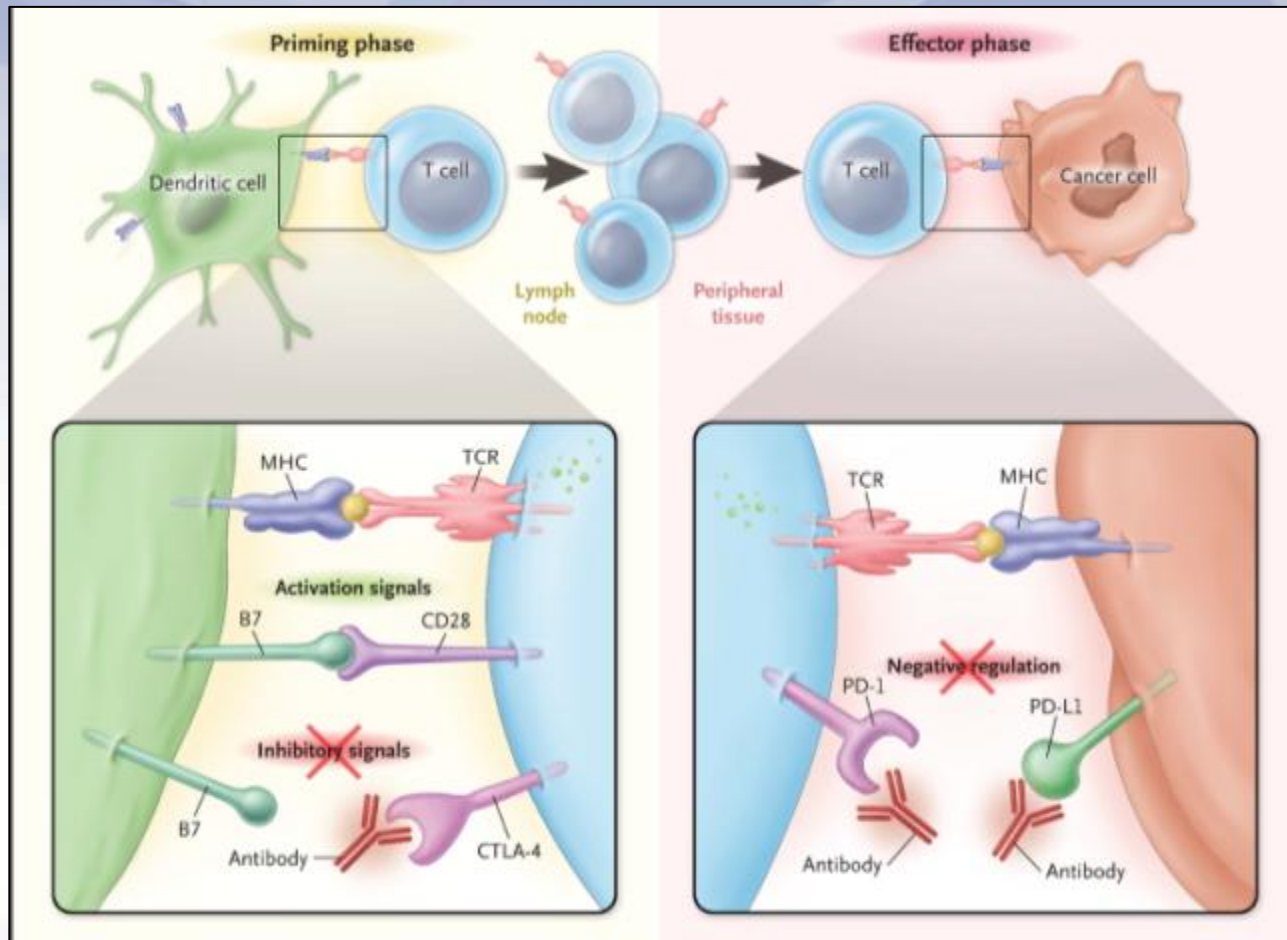


Targeted Therapy

Target tumor



CTLA-4 and PD-1/PD-L1 Checkpoint Blockade for Cancer Treatment



HOW CAN TUMORS EVADE THE IMMUNE RESPONSE



Empowering the immune system: innate and adaptive immunity



Innate immune response

The first line of defense, it identifies and attacks tumor cells without antigen specificity.^{1,4-6} **Natural killer (NK) cells** are the main effector cells of innate immunity.

The antitumor activity of NK cells and cytotoxic T cells is regulated through a network of **activating** and **inhibitory** signaling pathways:⁸⁻¹⁰

+ ACTIVATING

Stimulating pathways trigger immune responses

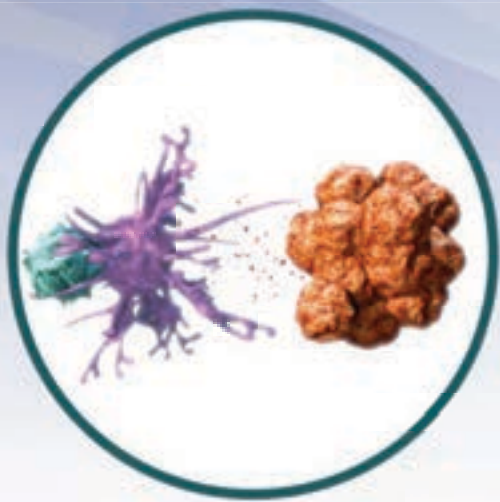
- INHIBITORY

Pathways that counterbalance immune activation such as checkpoints



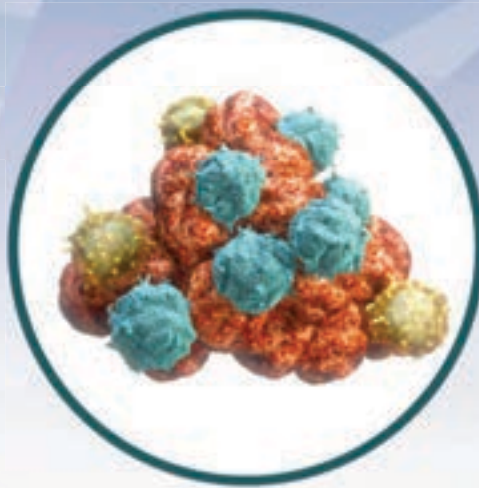
Adaptive immune response

A durable response that attacks tumor antigens.^{1,6} Once activated, it can be sustained through a memory response.⁷ **Cytotoxic T cells** are the main effector cells of adaptive immunity.



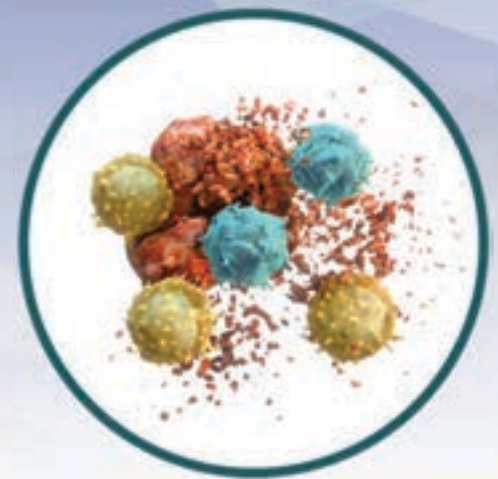
Presentation

The innate immune system rapidly identifies and attacks tumor cells. Tumor cell death releases tumor antigens, which can activate the cytotoxic T cells of the adaptive immune system.^{6,15}



Infiltration

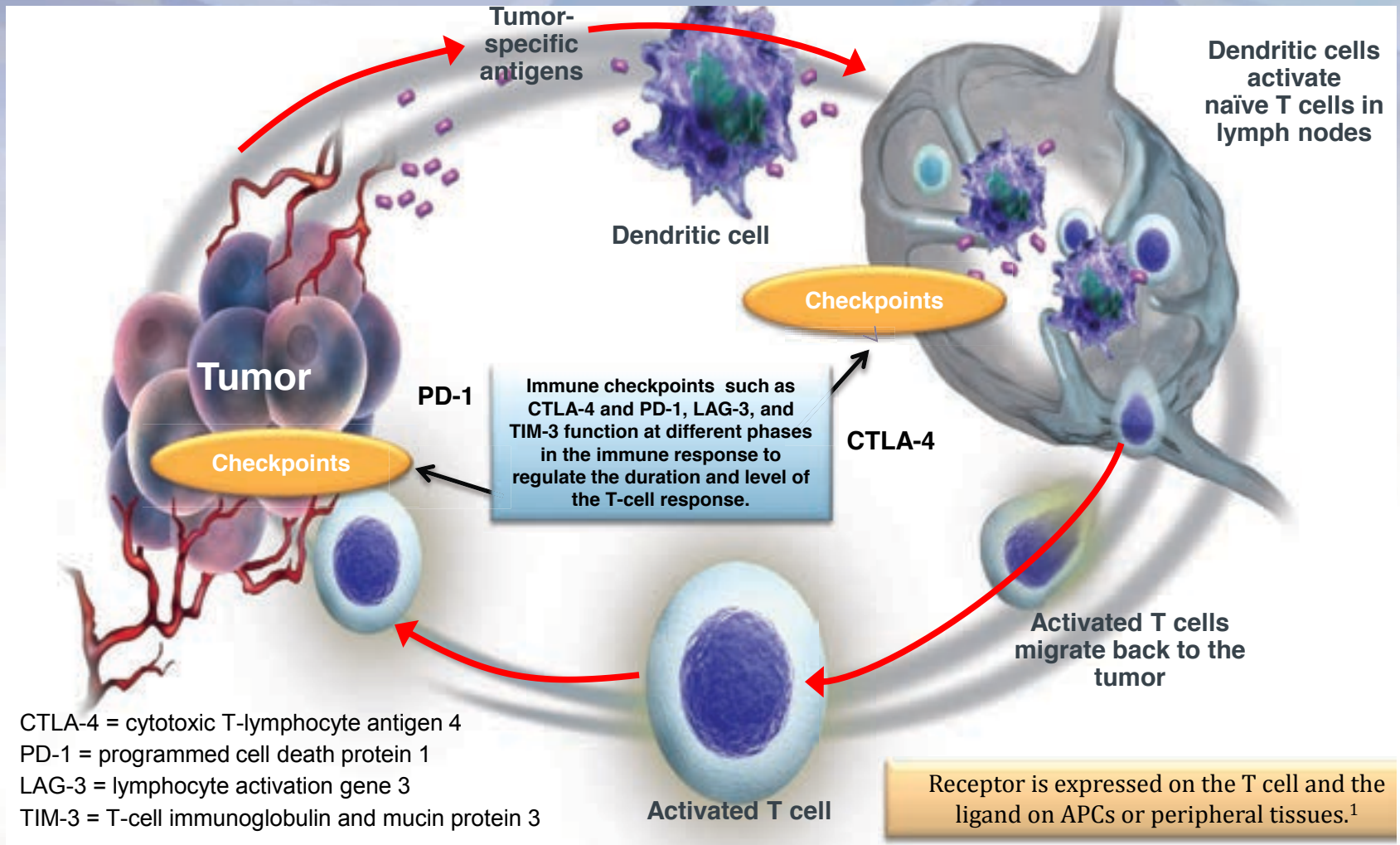
Tumor antigens and other factors attract immune cells to the tumor site, where they invade and attack.¹⁵



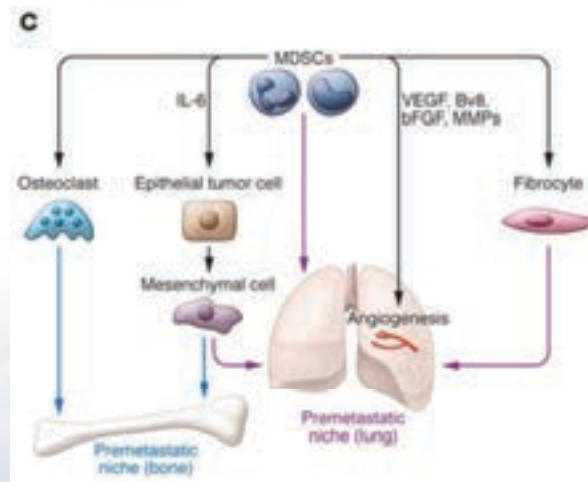
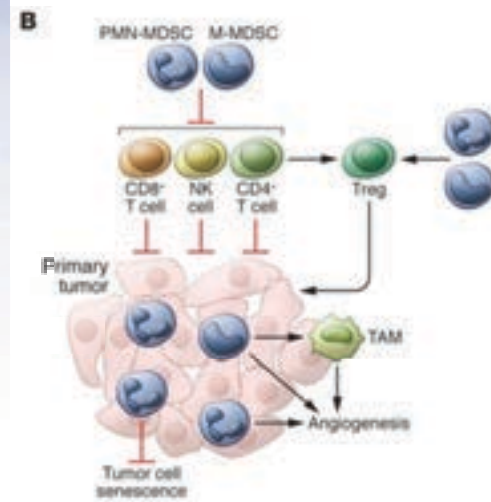
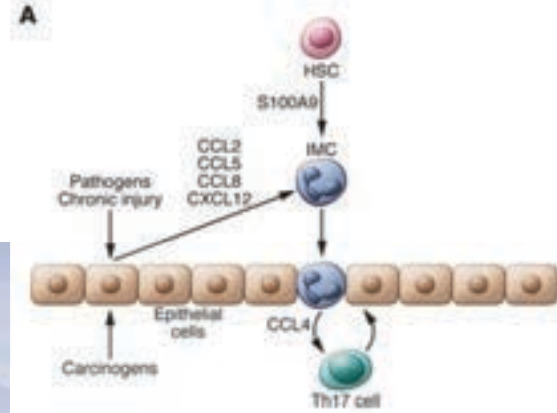
Elimination

Activated cytotoxic T cells recognize tumor cells as the source of the antigen and target them for elimination.¹⁵

Immuno-surveillance¹⁻³



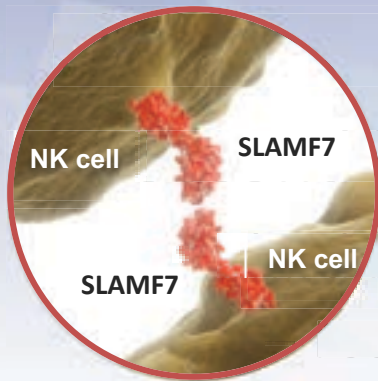
1. May KF Jr et al. In: Prendergast GC, Jaffee EM, eds. Cancer Immunotherapy: Immune Suppression and Tumor Growth. 2nd ed. Amsterdam, Netherlands; Elsevier: 2013:111–113. 2. Mellman I et al. Nature. 2011;480:480–489. 3. Pardoll DM. Nat Rev Cancer. 2012;12:252–264.





Pathways that modulate the innate immune response (1/2)

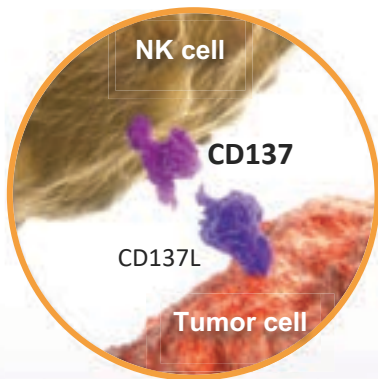
Current research is investigating the following pathways to understand how they can be modulated to restore the **innate immune response's** ability to fight cancer:



+ activating

SLAMF7 is an activating receptor on the surface of NK cells and other immune cells.¹¹ When engaged, SLAMF7 activates NK cells, the rapid responders of the immune system and the body's first line of defense against cancer.^{5,12}

Continuous activation of NK cells through pathways like SLAMF7 may initiate the development of long-term immunity.^{4,13}



+ activating

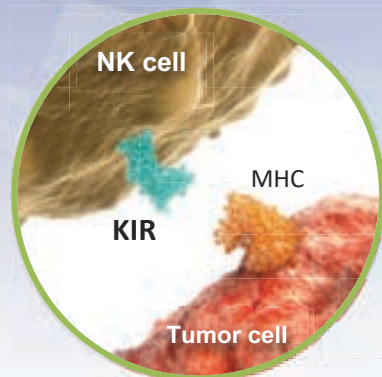
CD137 is an activating receptor on the surface of NK cells and T cells that can stimulate them to reproduce and generate antitumor activity.^{14,15} In animal models, CD137 also plays a critical role on T cells in the development of immune memory and the creation of a durable immune response.¹⁶

Preclinical data suggests that activation of CD137 can stimulate NK-cell and cytotoxic T-cell activity and generate a lasting memory response.^{17,18}



Pathways that modulate the innate immune response (2/2)

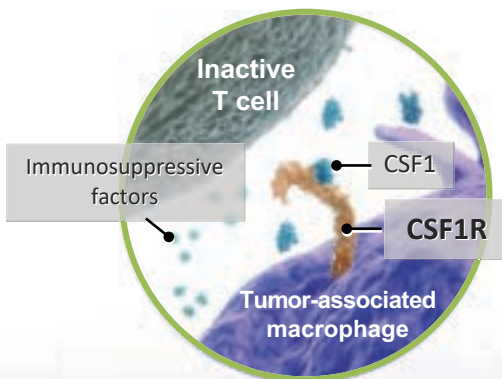
Current research is investigating the following pathways to understand how they can be modulated to restore the **innate immune response's** ability to fight cancer:



— inhibitory

KIR is an immune checkpoint receptor on the surface of NK cells that acts to stop NK cells from killing normal cells.¹⁹ Tumor cells can use the KIR pathway to disguise themselves as normal cells and escape detection by NK cells.²⁰

Preclinical data suggests that blockade of inhibitory KIRs can help restore NK cell-mediated immune activity.^{21,22}



— inhibitory

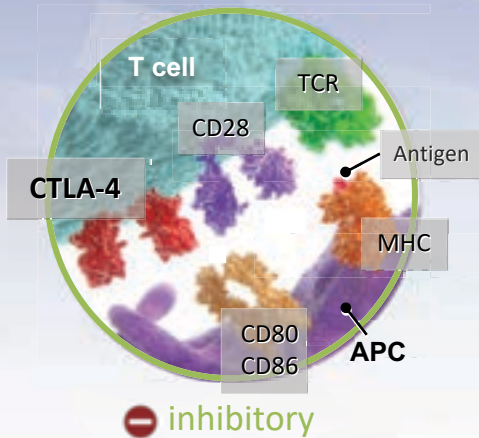
CSF1R is a receptor on the surface of macrophages and other cells of the myeloid lineage.²³ In the tumor microenvironment, some macrophages evolve from antitumor to protumor in their activity.²⁴ Protumor, or tumor-associated macrophages (TAMs) can drive immunosuppression and support tumor growth.²⁴ Mouse models have shown that tumor cells use CSF1 to target CSF1R on macrophages, stimulating the development and survival of TAMs.²⁵

Preclinical data suggests that blockade of CSF1R can result in depletion of TAMs and improved T-cell responses.^{26,27}



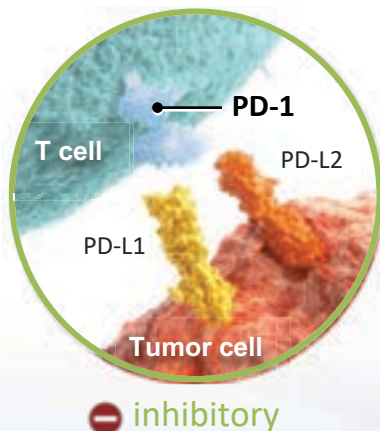
Pathways that modulate the adaptive immune response (1/4)

Current research is investigating the following pathways to understand how they can be modulated to restore the **adaptive immune response's** ability to fight cancer:



CTLA-4 is an immune checkpoint receptor on T cells that plays a key role in preventing T-cell overactivation.²⁸⁻³¹ Tumor cells use the CTLA-4 pathway to suppress initiation of an immune response, resulting in decreased T-cell activation and ability to proliferate into memory T cells.^{32,33} CTLA-4 signaling diminishes the ability of memory T cells to sustain a response, damaging a key element of durable immunity.³⁴

Preclinical data suggests that treatment with antibodies specific for CTLA-4 can restore an immune response through increased survival of memory T cells and depletion of regulatory T cells.³⁵⁻³⁸



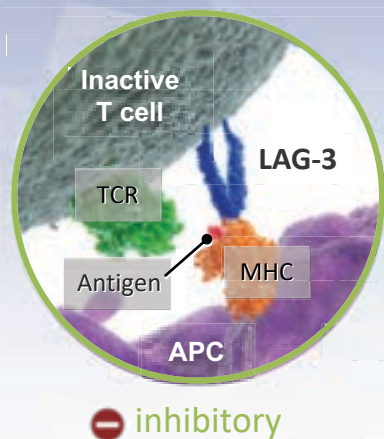
PD-1 is an immune checkpoint receptor on cytotoxic T cells that plays a key role in T-cell exhaustion and prevention of autoimmunity.³⁹⁻⁴¹ Tumor-infiltrating T cells across solid tumors and hematologic malignancies display evidence of exhaustion, including upregulation of PD-1.⁴²

Preclinical data suggests that PD-1 blockade reinvigorates exhausted T cells and restores their cytotoxic immune function.⁴⁰ Inhibiting both PD-1 ligands (PD-L1 and PD-L2) may be more effective at reversing T-cell exhaustion than inhibiting PD-L1 alone.⁴³



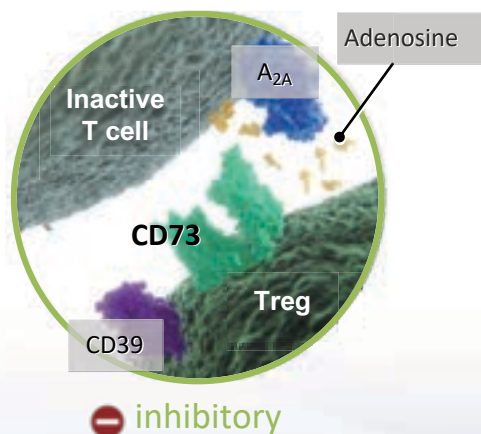
Pathways that modulate the adaptive immune response (2/4)

Current research is investigating the following pathways to understand how they can be modulated to restore the **adaptive immune response's** ability to fight cancer:



LAG-3 is an immune checkpoint receptor on the surface of both activated cytotoxic and regulatory T cells (Tregs).^{44,45} When bound to the antigen-MHC complex, LAG-3 can negatively regulate T-cell proliferation and the development of lasting memory T cells.⁴⁶ Repeated exposure to tumor antigen causes an increase in the presence and activity of LAG-3, leading to T-cell exhaustion.^{47,48}

Preclinical data suggests that inactivation of LAG-3 allows T cells to regain cytotoxic function.⁴⁹



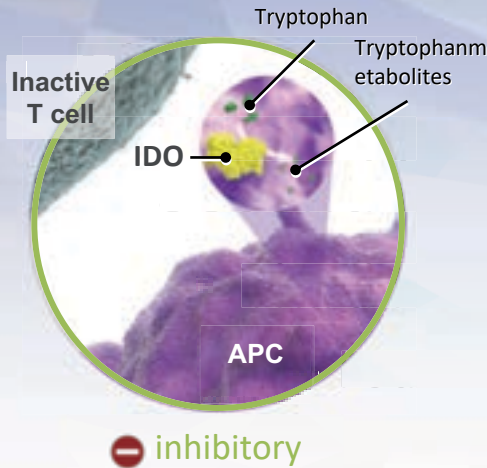
CD73 is a cell-surface enzyme on Tregs. CD73 is a critical checkpoint in the production of adenosine, which has been demonstrated to be a powerfully immunosuppressive molecule in cellular studies.⁵⁰ Tumor cells exploit this pathway by expressing CD73 and releasing adenosine into the tumor microenvironment.⁵¹⁻⁵³

Preclinical data suggests that inhibition of CD73 activity can stimulate T-cell activity.⁵⁴



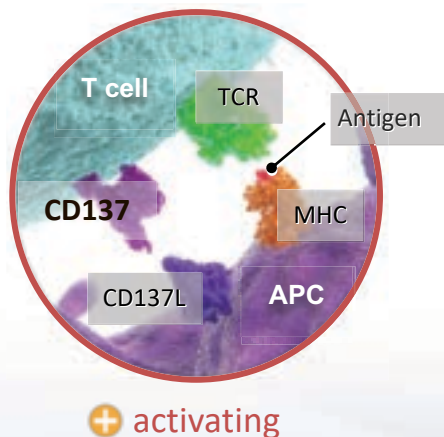
Pathways that modulate the adaptive immune response (3/4)

Current research is investigating the following pathways to understand how they can be modulated to restore the **adaptive immune response's** ability to fight cancer:



IDO is an intracellular enzyme that initiates the breakdown of tryptophan, an amino acid that is essential for T-cell survival.⁵⁵⁻⁵⁷ Tumor cells can upregulate IDO activity in order to suppress T-cell antitumor function.^{58,59}

Preclinical data suggests that blockade of IDO can restore cytotoxic T-cell function.^{60,61}



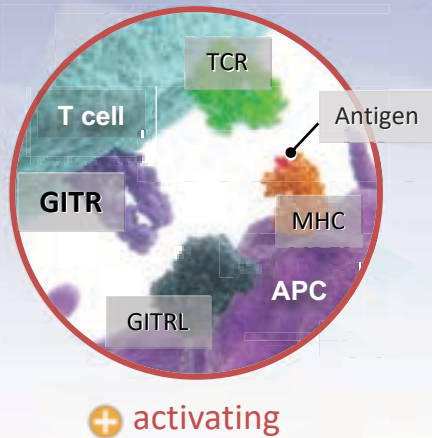
CD137 is an activating receptor on the surface of NK cells and T cells that can stimulate them to reproduce and generate antitumor activity.^{14,15} CD137 also plays a critical role on T cells in the development of immune memory and the creation of a durable immune response, in animal models.¹⁶

Preclinical data suggests that activation of CD137 can stimulate NK-cell and cytotoxic T-cell activity and generate a lasting memory response.^{17,18}



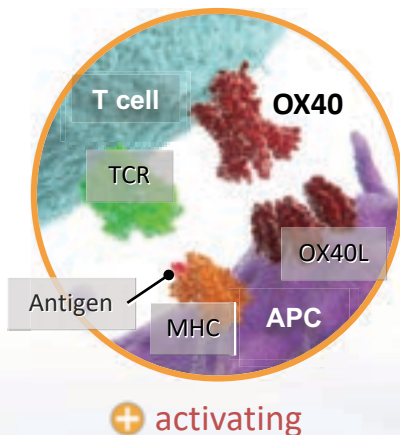
Pathways that modulate the adaptive immune response (4/4)

Current research is investigating the following pathways to understand how they can be modulated to restore the **adaptive immune response's** ability to fight cancer:



GITR is an activating receptor on the surface of T cells and other immune cells that helps to enhance cell reproduction and generate antitumor activity.⁶²⁻⁶⁴ GITR signaling can also block the suppressive abilities of regulatory T cells (Tregs), further enhancing cytotoxic T-cell function.⁶⁵

Preclinical data suggests that activation of GITR signaling can help enhance immunity through the activation of cytotoxic T cells and inhibition of Treg activity.⁶⁶



OX40 is an activating receptor on the surface of activated cytotoxic T cells and Tregs.⁶⁷⁻⁶⁹ OX40 plays a dual role in the immune response, both activating and amplifying T-cell responses. This dual effect helps create a tumor microenvironment that is more favorable to antitumor response.⁷⁰⁻⁷³

Preclinical data suggests that OX40 increases the number and activity of cytotoxic T cells and curtails the immunosuppressive impact of Tregs.⁷⁴⁻⁷⁶

Tumors Evade Immune Detection and Destruction¹

- The immune response to tumor cells can be evaded by a number of mechanisms:
 - Reduced antigen presentation¹
 - Resistance to T-cell-mediated killing¹
 - T-cell inhibition and anergy (eg, by upregulation of coinhibitory molecules, including PD-L1)²
 - Treg-mediated immunosuppression¹

